

Date: June 12, 2017

To: PRISM Stakeholders

From: Camille White, PRISM Program Manager, FMCSA Office of Enforcement and PRISM Technical Support Team

Performance and Registration Information Systems Management (PRISM)
Technical Announcement II

The Federal Motor Carrier Safety Administration's (FMCSA) PRISM program announces an update to the PRISM Data Files (Target, Census, Carrier) and PRISM Web Service transactions to include a MCSIP Step 53 to represent the Federal Out-of-Service (OOS) Order for a "Denial of Access" **effective on Wednesday, June 28th 2017**.

Motor carriers that fall under the MCSIP Step 53, should have their vehicle registrations either denied or suspended during safety checks and should not be allowed to operate in interstate commerce. FMCSA will issue a separate announcement with guidance on how to address carriers identified operating while under a Federal OOS for Denial of Access on the roadside.

Background:

For information on the Denial of Access, please refer to the first Technical Announcement issued on October 28, 2016 (attached).

Implementation Assistance:

Prior to the implementation date of MCSIP Step 53, the PRISM Technical Support Team is holding two one hour open webinar sessions called, "PRISM Tech Support Office Hours" to address any questions or programming needs for implementing the MCSIP Step 53 within your registration systems. This session is an open forum for stakeholders who need technical assistance or would like to provide feedback on the implementation process - attendance is optional.

PRISM Technical Support Team Office Hours Schedule:

Dates: Wednesday, June 14th 2017 and Thursday, June 15th 2017

Times: 3:00 pm to 4:00 pm

Join the Web Conference

1. **Dial in to the conference line on your phone:** 1-888-363-4734
2. **Enter Access Code:** 7558040
3. **Click here:** <https://www.connectmeeting.att.com>
4. **Enter**
 - a. **Meeting Number:** 8883634734
 - b. **Code:** 7558040

- c. **Email Address**
- d. **First Name** and **Last Name**
- e. Enter as **Participant**

If you have any difficulty accessing the webinar, contact PRISM Technical Support by phone at 617-494-2296 or via email at PRISMTechnicalSupport@dot.gov.

Attachments:

Attached is an updated **MCSIP Step Chart** and **PRISM Quarterly Performance Report** to include the MCSIP Step 53 for Denial of Access. Also, attached is the **Technical Announcement I** with information on the Denial of Access and technical details.

The PRISM System Specifications and PRISM Procedure Manual on the FMCSA PRISM website will be updated in the coming weeks.

Contacts:

If you have any questions about this announcement, please contact:

Camille White

PRISM Program Manager

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If you need technical assistance, please contact:

PRISM Technical Support Team

USDOT | Volpe National Transportation

Office: 617-494-2296 | Email: PRISMTechnicalSupport@dot.gov

If you have any questions about the Denial of Access policy, please contact:

Kristopher Wyatt

Transportation Specialist

USDOT | FMCSA | Enforcement Division

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MCSIP Step Chart – June 2017

Step Number	Targeted at Roadside	Deny Registration	Step Description	External Description for Web Sites, Inspection Software, etc.
0	N	N	Not In MCSIP	Not Targeted
3	N	N	Past Due CR	Not Targeted
7	N	N	Mandatory CR	Not Targeted
22	N	N	Compliance Review Complete	Not Targeted
52	Y	Y	Biennial Update not Completed	Biennial Update not Completed
53	Y	Y	OOSO – Denial of Access	OOS: Denial of Access
54	Y	Y	OOSO – Imminent Hazard	OOS: Imminent Hazard
55	Y	Y	OOSO – Unsat/Unfit	OOS: Unsat/Unfit Motor Carrier
56	Y	Y	OOSO – New Entrant Revoked for Expedited Actions and Intrastate Out-of-Service	New Entrant – OOSO Revoked for Expedited Actions and Intrastate Out-of-Service
57	Y	Y	OOSO – Failure to Pay Fine for Safety Violation	OOS: Failure to Pay
58	Y	Y	OOSO – New Entrant Revoked for Expedited Actions	New Entrant – OOSO Revoked for Expedited Actions
59	Y	N	Intrastate Out-of-Service	Intrastate Out-of-Service
60	Y	Y	OOSO – Imminent Hazard and Intrastate Out-of-Service	OOS: Imminent Hazard and Intrastate Out-of-Service
61	Y	Y	OOSO – Unsat/Unfit and Intrastate Out-of-Service	OOS: Unsat/Unfit Motor Carrier and Intrastate Out-of-Service
62	Y	Y	OOSO – Failure to Pay and Intrastate Out-of-Service	OOS: Failure to Pay and Intrastate Out-of-Service
63	Y	Y	OOSO – New Entrant Revoked for Refusal of Audit/No Contact	New Entrant – OOSO Revoked for Refusal of Audit/No Contact
64	Y	Y	OOSO – New Entrant Revoked for Failure of Safety Audit	New Entrant – OOSO Revoked for Failure of Safety Audit
65	Y	Y	OOSO –Operating without Authority	OOS: Operating without Authority
66	Y	Y	OOSO –Operating without Authority and Intrastate Out-of-Service	OOS: Operating without Authority and Intrastate Out-of-Service
67	Y	Y	OOSO – New Entrant Revoked for Refusal of Audit/No Contact and Intrastate Out-of-Service	New Entrant – OOSO Revoked for Refusal of Audit/No Contact and Intrastate Out-of-Service
68	Y	Y	OOSO – New Entrant Revoked for Failure of Safety Audit and Intrastate Out-of-Service	New Entrant – OOSO Revoked for Failure of Safety Audit and Intrastate Out-of-Service
99	N	N	Carrier Out-of-Business	Not Targeted

PRISM Quarterly Performance Report for Denials and Suspensions/Revocations

Provide the dates of the quarterly data being reported: _____ through _____

State Reporting: _____

Reporting Date: _____

IRP Representative: _____

Please enter the total number of denials and suspensions/revocations for the period resulting from safety checks conducted prior to issuing registration or during the carrier's period of registration, using the daily PRISM Data Files or PRISM Web Service. *

Federal Out-of-Service Order Reason (MCSIP Step)	Suspensions/Revocations		Denials
	Number of Carriers	Number of Vehicles	Number of Carriers
Imminent Hazard (Step 54 & 60)			
Unsat/Unfit (Step 55 & 61)			
Non-Payment of Fines (Step 57 & 62)			
New Entrant Revocations (Step 56 & 58 & 63 & 64 & 67 & 68)			
Operating Without Authority (Step 65 & 66)			
Denial of Access (Step 53)			
Biennial Update Not Completed (Step 52)			

*This includes denials when the registrant was deemed a reincarnation/affiliation of a motor carrier under a Federal out-of-service order.

Note: For suspensions, enter the total number of carriers and vehicles.

Date: October 28, 2016

To: PRISM Stakeholders

From: FMCSA Enforcement Division and PRISM Technical Support Team

Performance and Registration Information Systems Management (PRISM)
Technical Announcement I

The Federal Motor Carrier Safety Administration's (FMCSA) PRISM program announces an update to the PRISM Target File to include a MCSIP Step 53 to represent the Federal Out-of-Service (OOS) Order for a **"Denial of Access."**

Background:

FMCSA agents have the authority to enter upon and inspect and copy records or inspect and examine equipment, lands, buildings, and other property of motor carriers. Motor carriers must, upon reasonable request and presentation of credentials by authorized personnel, promptly provide access to records. When motor carriers do not comply, a formal written demand is served to the motor carrier to demand access. A denial of access occurs when the motor carrier fails to allow access to those things that are reasonably necessary to conduct the investigation. Failure to comply with written demands for access by both private and for-hire motor carriers will result in civil penalties. In addition to civil penalties, for-hire motor carriers will also be placed under a Federal OOS order for failure to comply with the requirements of a demand. For-hire motor carriers who continue to operate after having been placed OOS will be subject to a suspension of operating authority.

Implementation:

The MCSIP Step 53 was previously defined in the MCSIP Step Chart as a "Placeholder for future new OOS." This Step falls into the range of OOS steps that PRISM has been using since 2009.

Motor carriers that fall under MCSIP Step of 53, should have their vehicle registrations either denied or suspended during safety checks and should not be allowed to operate in interstate commerce. If your State's vehicle registration/safety program maintains the OOS MCSIP Steps based on a range from 52-68 (excluding 59), then the PRISM program believes that the use of this new step is already included as part of the implemented OOS safety checks and that no software or hardware technical changes will be needed to accommodate the designation of MCSIP Step 53. However, PRISM States should check their programming to ensure that the MCSIP Step 53 will be incorporated into the PRISM OOS safety checks. If examples are needed to run a test after implementation, please contact PRISM Technical Support at: PRISMTechnicalSupport@dot.gov.

Implementation Date:

The implementation date of the new MCSIP Step 53 on the PRISM Target File has yet to be determined. It is anticipated that it will be in place within the next few weeks. We will issue a second technical announcement confirming the date in which PRISM States can expect to see

the new records within the PRISM Target File and additional guidance.

Attached is an update to the MCSIP Step Chart which reflects the changes in red. The PRISM System Specifications and PRISM Procedure Manual on FMCSA's PRISM website will be updated in the coming weeks to reflect this updated MCSIP Step Chart.

If you have any questions about this announcement, please contact Camille White, PRISM Program Manager at camille.white@dot.gov or PRISM Technical Support at PRISMTechnicalSupport@dot.gov.