

Operating (DBA):

Application Tracking #: 10961

Territory:

for year ending: 10/13/2011

Percentage of time used in the U.S.:



TRANSPORTES UNIMEX SA DE CV

Application Tracking #: 10961

RFC #: TUN070911TH8

Review Date:

11/01/2011

Part A

Questions about this report or the Federal Motor Carrier Safety or Hazardous Materials regulations may be addressed to the Federal Motor Carrier Safety Administration at:

623 S International Blvd

Weslaco, TX 78596

Phone: 956-447-5608 Fax: 956-969-5769

This SAFETY AUDIT will be used to assess your safety compliance.

Person(s) Interviewed

Name: Teresa Campero

Title: Co-Owner

Name: Raul Morales

Title: Operations Manager



**Part B - Questions and Answers****Question** General # 1 - Section # 387.7(a) Acute

Does the carrier have the required minimum level of financial responsibility in effect?

*Tiene el transportista los niveles mínimos requeridos de responsabilidad financiera en efecto?***Answer**

Yes

Comments

Carrier has a letter of intent to purchase insurance once their authority is issued.

Question General # 2 - Section # 387.7(d) Critical

Does the carrier have required proof of financial responsibility?

*¿Tiene el transportista prueba de responsabilidad financiera requerida?***Answer**

Yes

Comments

Carrier has a letter of intent to purchase insurance once their authority is issued.

Question General # 3 - Section # 390.15(b)(1)

Can the carrier provide a complete accident register of recordable accidents?

*Puede el transportista proveer un registro completo de accidentes que requieran anotacion?***Answer**

N/A

Comments**Question** General # 4 - Section # 390.15(b)(2) Critical

Does the carrier have copies of all accident reports required by States or other government entities or insurers?

*¿Tiene el transportista copias de todos los reportes de accidentes requeridos por Estados u otra entidad gubernamental o compañías de seguro?***Answer**

N/A

Comments**Question** General # 5 - Section # 390.3(e)

Is the carrier knowledgeable of the FMCSRs/HMRs?

*Puede el transportista conseguir una copia actualizada de la FMCSRs/HMRs?***Answer**

Yes

Comments**Question** General # 6 - Section # 390.21

Does the carrier know the commercial motor vehicles marking requirements?

*Conoce el transportista los requerimientos de marcado de los vehículos comerciales motorizados?***Answer**

Yes

Comments**Question** Driver # 1 - Section # 391.51(a) Critical

Does the carrier maintain complete driver qualification files?

*Mantiene el transportista archivos completos de calificacion del conductor?***Answer**

Yes

Comments**Question** Driver # 2 - Section # 391.11(b)(4) Acute

Is the carrier using physically qualified drivers?

*Esta el transportista usando conductores calificados físicamente?***Answer**

Yes

Comments

**Part B - Questions and Answers****Question** Driver # 3 - Section # 391.45(a), 391.45(b) Critical

Does available evidence indicate the motor carrier has used a driver without a medical certificate or with an expired medical certificate?

Indicar si hay evidencia disponible de que el transportista ha usado un conductor sin certificado medico o con un certificado medico expirado?

Answer

No

Comments**Question** Driver # 4 - Section # 391.15(a) Acute

Is the carrier using any disqualified drivers?

Esta el transportista usando algunos conductores descalificados?

Answer

No

Comments**Question** Driver # 5 - Section # 391.51(b)(2) Critical

Does the carrier maintain driving and employment history inquiry data in driver qualification files?

Mantiene el transportista historial de datos de manejo del conductor en los archivos de calificación de conductores?

Answer

Yes

Comments**Question** Driver # 6 - Section # 382.115(a) Acute

Has the carrier implemented an alcohol and/or controlled substances testing program?

Tiene el transportista pruebas implementadas de alcohol y/ o sustancias controladas?

Answer

Yes

Comments**Question** Driver # 7 - Section # 382.213(b) Acute

Has the carrier used drivers who have used controlled substances?

Ha usado el transportista conductores que han usado sustancias controladas?

Answer

No

Comments**Question** Driver # 8 - Section # 382.215 Acute

Has the carrier used a driver who has tested positive for a controlled substance?

Ha usado el transportista un conductor que ha resultado positivo para una sustancia controlada?

Answer

No

Comments**Question** Driver # 9 - Section # 382.201 Acute

Has the carrier used a driver known to have an alcohol concentration of 0.04 or greater?

Ha usado el transportista un conductor conocido que tenga una concentración de alcohol de 0.04 o mas?

Answer

No

Comments**Question** Driver # 10 - Section # 382.505(a) Acute

Has the carrier used a driver found to have an alcohol concentration of .02 or greater but less than .04 within 24 hours of being tested?

Ha usado un transportista un conductor que se le ha encontrado tener una concentración de alcohol de .02 o mayor pero menos que .04 dentro de las 24 horas de haber sido examinado ?

Answer

No

Comments

**Part B - Questions and Answers****Question** Driver # 11 - Section # 382.301(a) Critical

Has the carrier ensured that drivers have undergone testing for controlled substances prior to performing a safety sensitive function?

¿Se ha asegurado el transportista que los conductores se hayan sometido a la prueba de sustancias controladas antes de realizar una acción delicada de seguridad?

Answer

Yes

Comments**Question** Driver # 12 - Section # 382.303(a) Critical

Has the carrier conducted post accident testing on drivers for alcohol and/or controlled substances?

Ha, el transportista, realizado pruebas de alcohol y/o sustancias controladas a conductores después de un accidente ?

Answer

N/A

Comments**Question** Driver # 13 - Section # 382.305 Acute

Has the carrier implemented random testing program?

Ha implementado el transportista programas de pruebas al azar?

Answer

Yes

Comments**Question** Driver # 14 - Section # 382.305(b)(1) Critical

Has the carrier conducted random alcohol testing at an annual rate of not less than the applicable annual rate of the average number of driver positions?

Ha conducido el transportista pruebas de alcohol al azar a la tasa anual no menor que la tasa anual aplicable al número promedio de las posiciones de conductores?

Answer

Yes

Comments**Question** Driver # 15 - Section # 382.305(b)(2) Critical

Has the carrier conducted controlled substance testing at an annual rate of not less than the applicable annual rate of the average number of driver positions?

Ha conducido el transportista pruebas de sustancias controladas a la tasa anual no menor que la tasa anual aplicable del número promedio de las posiciones de conductores?

Answer

Yes

Comments**Question** Driver # 16 - Section # 40.305(a)

Has the carrier conducted the required return-to-duty tests on employees returning to safety-sensitive functions?

Ha el transportista realizado las pruebas requeridas de retorno-al-trabajo en empleados retornando s sus funciones de alta seguridad?

Answer

N/A

Comments**Question** Driver # 17 - Section # 40.309(a)

Is the carrier conducting follow-up testing as directed by the Substance Abuse Professional?

Esta el transportista realizando seguimientos a las pruebas como lo indica el Profesional en Abuso de Sustancias?

Answer

N/A

Comments

**Part B - Questions and Answers****Question** Driver # 18 - Section # 382.211 Acute

Has the carrier used a driver who has refused to submit to an alcohol or controlled substances test required under Part 382?

Ha usado el transportista a un conductor que a rehusado someterse a una prueba de alcohol o sustancias controladas requeridas bajo la parte 382?

Answer

N/A

Comments**Question** Driver # 19 - Section # 382.503 Critical

Has the carrier used a Substance Abuse Professional as required by 49 CFR Part 40 Subpart O?

Ha el transportista empleado un Profesional en Abuso de Sustancias como lo requiere el 49 CFR Parte 40 Subparte O?

Answer

N/A

Comments**Question** Driver # 20 - Section # 383.23(a) Critical

Has a driver operated a commercial motor vehicle without a current operating license, or a license, which hasn't been properly classed and endorsed?

Ha operado un conductor un vehículo comercial motorizado sin una licencia actualizada para operar, o una licencia la cual no ha sido apropiadamente clasificada y endosada?

Answer

No

Comments**Question** Driver # 21 - Section # 383.37(a) Acute

Has the motor carrier allowed it's drivers who's CDLs have been suspended, revoked or canceled by a state, have lost the right to operate a CMV in a State, or have been disqualified from operating a CMV to operate a commercial motor vehicle?

Ha permitido el autotransportista conducir a conductores que tienen CDL suspendida, revocada, o cancelada por un estado, o que han perdido el derecho de operar una CMV en un Estado, o han sido descalificado para operar un vehículo comercial motorizado?

Answer

No

Comments**Question** Driver # 22 - Section # 383.51(a) Acute

Has the motor carrier knowingly allowed, required, permitted, or authorized a driver to drive who is disqualified to drive a commercial motor vehicle?

Ha dejado el autotransportista intencionalmente, requerido, permitido o autorizado a un conductor descalificado a manejar un vehículo comercial motorizado?

Answer

No

Comments**Question** Operation #1 - Section # 395.8(a) Critical

Does the carrier require drivers to make a record of duty status?

Exige el transportista a los conductores a hacer un registro del estado del trabajo?

Answer

Yes

Comments**Question** Operation #2 - Section # 395.8(i) Critical

Does the carrier require drivers to submit records of duty status within 13 days?

Exige el transportista a los conductores a someterse al registro del estado del trabajo dentro de 13 días?

Answer

Yes

Comments

**Part B - Questions and Answers****Question** Operation #3 - Section # 395.8(k)(1) Critical

Can the carrier produce records of duty status and supporting documents for selected drivers?

*Puede el transportista producir registros del estado del trabajo y documentos probatorios para conductores escogidos?***Answer**

Yes

Comments**Question** Operation #4 - Section # 395.3(a)(1) Critical

Has the carrier allowed driver(s) to exceed the 11-hour rule? (Property)

*¿Ha permitido el transportista a los conductores exceder la regla de 11 horas? (Propiedad)***Answer**

No

Comments**Question** Operation #5 - Section # 395.3(a)(2) Critical

Has the carrier allowed driver(s) to exceed the 14-hour rule? (Property)

*¿Ha permitido el transportista a los conductores exceder la regla de 14 horas? (Propiedad)***Answer**

No

Comments**Question** Operation #6 - Section # 395.3(b)(1) Critical

Has the carrier allowed driver(s) to drive after having been on duty more than 60 hours in 7 consecutive days? (Property)

*Ha permitido el transportista a los conductores manejar después de haber estado trabajando por mas de 60 horas en 7 días consecutivos? (Propiedad)***Answer**

N/A

Comments**Question** Operation #7 - Section # 395.3(b)(2) Critical

Has the carrier allowed driver(s) to drive after having been on duty more than 70 hours in 8 consecutive days? (Property)

*¿Ha permitido el transportista a los conductores manejar después de haber estado trabajando por mas de 70 horas en 8 días consecutivos? (Propiedad)***Answer**

No

Comments**Question** Operation #8 - Section # 395.5(a)(1) Critical

Has the carrier allowed driver(s) to exceed the 10 hour rule? (Passenger)

*¿Ha permitido el transportista a los conductores exceder la regla de las 10 horas? (Pasajero)***Answer**

N/A

Comments**Question** Operation #9 - Section # 395.5(a)(2) Critical

Has the carrier allowed driver(s) to exceed the 15 hour rule? (Passenger)

*¿Ha permitido el transportista a los conductores exceder la regla de las 15 horas? (Pasajero)***Answer**

N/A

Comments**Question** Operation #10 - Section # 395.5(b)(1) Critical

Has the carrier allowed driver(s) to drive after having been on duty more than 60 hours in 7 consecutive days? (Passenger)

*¿Ha permitido el transportista a los conductores manejar después de haber estado trabajando por mas de 60 horas en 7 días consecutivos? (Pasajero)***Answer**

N/A

Comments

**Part B - Questions and Answers****Question** Operation #11 - Section # 395.5(b)(2) Critical**Answer**

Has the carrier allowed driver(s) to drive after having been on duty more than 70 hours in 8 consecutive days? (Passenger)

N/A

¿Ha permitido el transportista a los conductores manejar después de haber estado trabajando por mas de 70 horas en 8 días consecutivos? (Pasajero)

Comments**Question** Operation #12 - Section # 395.8(e) Critical**Answer**

Does available evidence indicate a selected driver has prepared a false record of duty status?

No

Tiene evidencia disponible que indique que un determinado conductor ha preparado un falso registro del estado de trabajo?

Comments**Question** Operation #13 - Section #**Answer**

Does the carrier adhere to a disciplinary policy for noncompliance with Part 395?

Yes

Se adhiere el transportista a una política disciplinaria por no cumplir con la parte 395?

Comments**Question** Operation #14 - Section # 395.1(e)**Answer**

Does the carrier have a system for recording hours of duty status on 100- mile radius drivers, and are they properly utilizing the 100 air-mile radius exemption?

N/A

Tiene el transportista un sistema para registrar las horas del estado de trabajo en 100 millas radio y están ellas utilizando adecuadamente la excepción de las 100 aire-millas radio de los conductores?

Comments

Carrier will operate outside the 100 mile radius and will be using log books

Question Operation #15 - Section # 392.2 Critical**Answer**

Does the motor carrier ensure that drivers operate commercial motor vehicles in accordance with the laws, ordinances, and regulations of the jurisdictions in which they are operating?

Yes

¿Se asegura el transportista que los conductores operen vehículos de comercio de acuerdo con las leyes, ordenanzas y regulaciones de las jurisdicciones en las cuales ellos operan?

Comments**Question** Operation #16 - Section # 392.9(a)(1) Critical**Answer**

Does the carrier ensure that drivers are not permitted to drive a vehicle without the cargo properly distributed and adequately secured?

Yes

Se asegura el autotransportista que los conductores no están permitidos de manejar un vehículo sin la apropiada distribución de la carga y asegurada adecuadamente?

Comments**Question** Operation #17 - Section # 392.4(b) Acute**Answer**

Have any drivers operated a commercial motor vehicle while under the influence of, or in possession of, narcotic drugs, amphetamines, or any other substances capable of rendering the drivers incapable of safely operating motor vehicles?

No

¿Tiene algún conductor que haya operado un vehículo de comercio bajo la influencia de, o en posesión de, drogas narcóticas, anfetaminas, u otras sustancias capaces de provocar la incapacidad de operar vehículos con seguridad?

Comments

**Part B - Questions and Answers****Question** Operation #18 - Section # 392.5(b)(1) Acute

Have any drivers operated a commercial motor vehicle while under the influence of, or in possession of, intoxicating beverages?

¿Tiene algún conductor que haya operado un vehículo de comercio bajo la influencia de, o en posesión de bebidas intoxicantes?

Answer

No

Comments**Question** Operation #19 - Section # 392.5(b)(2) Acute

Have any drivers operated a commercial motor vehicle within 4 hours of having consumed intoxicating beverages?

¿Tiene algún conductor que haya operado un vehículo de comercio dentro de las 4 horas de haber consumido bebidas intoxicantes?

Answer

No

Comments**Question** Maintenance # 1 - Section # 396.3(b) Critical

Can the carrier produce maintenance files for requested vehicle(s)?

Puede el transportista mostrar archivos de mantenimiento para requeridos vehículos ?

Answer

Yes

Comments**Question** Maintenance # 2 - Section # 396.17(a) Critical

Can the motor carrier produce evidence of periodic (annual) inspections for selected vehicles?

Puede el transportista mostrar evidencias de inspecciones periódicas (anual) para ciertos vehículos?

Answer

Yes

Comments**Question** Maintenance # 3 - Section # 396.11(a) Critical

Does the motor carrier require drivers to complete vehicle inspection reports daily?

El autotransportista requiere a los conductores completar los reportes de las inspecciones de vehículos diariamente?

Answer

Yes

Comments**Question** Maintenance # 4 - Section # 396.11(c) Acute

Does the carrier ensure that out-of-service defects listed by the driver in the driver vehicle inspection reports are corrected before the vehicle is operated again?

Se asegura el transportista que la lista de defectos hechas por el conductor de los fuera de servicio en el reporte de inspecciones sean corregidos antes que sea nuevamente operado el vehículo?

Answer

Yes

Comments**Question** Maintenance # 5 - Section # 396.9(c)(2) Acute

Does the carrier ensure vehicles that have been declared "out-of-service" do not operate before repairs have been made?

Se asegura el transportista que los vehículos que han sido declarados fuera de servicio no sean operados antes de que las reparaciones hayan sido hechas?

Answer

Yes

Comments



TRANSPORTES UNIMEX SA DE CV

Application Tracking #:10961

RFC #: TUN070911TH8

Review Date:

11/01/2011

Part B - Questions and Answers

Question Maintenance # 6 - Section # 396.19

Is the carrier using qualified inspectors (mechanic) and maintaining evidence of the inspector's qualifications?

Esta el transportista usando inspectores calificados (mecánicos) y manteniendo evidencia de las calificaciones hechas por el inspector?

Answer

Yes

Comments

Question Maintenance # 7 - Section # 396.3

Can the carrier explain its systematic, periodic maintenance program?

Puede el transportista explicar sistemáticamente el programa de mantenimiento periódico ?

Answer

Yes

Comments

Question Other # 1 - Section # 375.211

Does the carrier participate in an Arbitration Program?

El transportista participa en un Programa de Arbitraje?

Answer

N/A

Comments

Question Other # 2 - Section # 13702

Does the carrier assess shipper freight charges based upon published tariffs?

El transportista fija los cargos por embarque basados en tarifas publicadas?

Answer

N/A

Comments

Question Other # 3 - Section # 375.401(c)

Does the carrier provide reasonably accurate estimates of moving charges?

El transportista provee estimados razonables y precisos de la carga de mudanzas?

Answer

N/A

Comments

Question Other # 4 - Section # 375.407(a), 375.703(b)

Has the carrier avoided "hostage freight" or other predatory practices?

El transportista ha evitado "retener carga" ú otras practicas depredadoras?

Answer

N/A

Comments

Question Other # 5 - Section # 387.301(a), 387.301(b)

Does the HHG carrier have sufficient levels of public liability and cargo insurance?

Tiene el transportista de Bienes Domésticos los suficientes niveles de responsabilidad pública y de seguridad de carga?

Answer

N/A

Comments

Question Other # 6 - Section # 13901

Is the motor carrier authorized to conduct interstate operations in the United States?

¿Esta autorizado el transportista a dirigir operaciones interestatales dentro de los Estados Unidos?

Answer

Yes

Comments

Note: No Hazardous Materials questions were asked because the carrier does not carry Hazardous Materials in Interstate Commerce.



UNITED STATES DEPARTMENT OF TRANSPORTATION



Legal: TRANSPORTES UNIMEX SA DE CV

Operating (DBA):

MC/MX #: 637271

RFC #: TUN070911TH8

Federal Tax ID:

Application Tracking #: 10961

Review Type: Safety Audit - Pre-Authority (OP1) - Receipt

Scope: Entire Operation

Location of Review/Audit: FMCSA Field Office

Territory:

Operation Types Interstate Intrastate

Carrier: Non-HM N/A

Shipper: N/A N/A

Cargo Tank: N/A

Business: Corporation

Gross Revenue: \$779,780.00

for year ending: 10/13/2011

Company Physical Address:

Cumbres de Acutzingo # 618
REYNOSA, TA 88780 MEXICO

Contact Name: Raul Morales

Phone numbers: (1) 899-284-6385 (2)

Fax

E-Mail Address: rmorales@unimexlogistics.com

Company Mailing Address:

9600 INTERNATIONAL BLVD
PHARR, TX 78577

Report Summary

Report

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Part B - Recommendations

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Questions about this report or the Federal Motor Carrier Safety or Hazardous Materials regulations may be addressed to the Federal Motor Carrier Safety Administration at:

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Person(s) Interviewed

Name: Teresa Campero

Title: Co-Owner

Name: Raul Morales

Title: Operations Manager

Reported By:

Title:

Code: US1045

Date: 11/1/2011

Received By:

Title:

