

UNITED STATES DEPARTMENT OF TRANSPORTATION



Legal: JOSE GUADALUPE MORALES GUEVARA
Operating (DBA): FLETES MORALES

MC/MX #: 316863 **RFC #:** MOGJ540914287 **Federal Tax ID:** 20-1827702 (EIN) **Application Tracking #:** 11034

Review Type: Safety Audit - Pre-Authority (OP1)

Scope: Entire Operation **Location of Review/Audit:** Company facility in another country **Territory:**

Operation Types Interstate Intrastate

Carrier: Non-HM N/A
Shipper: N/A N/A
Cargo Tank: N/A

Business: Individual

Gross Revenue: _____ **for year ending:** _____

Company Physical Address:

CALLE QUINTANA ROO # 1225
 ENSENADA, BN 22840 MEXICO

Contact Name: Jose Guadalupe Morales Guevara

Phone numbers: (1) 646- 112-0066 (2)

Fax 646-120-5899

E-Mail Address: morales.trucking@hotmail.com

Company Mailing Address:

2455 OTAY CENTER DRIVE #118
 SAN DIEGO, CA 92154

Process Agent Address:

9765 Marconi Dr Ste 105
 San Diego, CA 92154

Contact Name: Isabel Rojo

Phone numbers: (1) 619-710-0451 (2)

Fax 619-710-4827

E-Mail Address:

Carrier Classification

Authorized for Hire

Cargo Classification

General Freight Other: seafood

Does carrier transport placardable quantities of HM? No

Is an HM Permit required? N/A

	Inter	Intra	Average trip leased drivers/month: 0	
< 100 Miles:				Total Drivers: 1
>= 100 Miles:	1			CDL Drivers: 1

Equipment

	Owned	Term Leased	Trip Leased	Owned	Term Leased	Trip Leased
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Truck	1	0	0			
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Power units used in the U.S.: 1

Percentage of time used in the U.S.:





FLETES MORALES (JOSE GUADALUPE MORALES GUEVARA dba)

Application Tracking #: 11034

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Review Date:

01/10/2012

Part A

Questions about this report or the Federal Motor Carrier Safety or Hazardous Materials regulations may be addressed to the Federal Motor Carrier Safety Administration at:

2297 Niels Bohr Court, Suite 204
San Diego (Otay Mesa), CA 92154
Phone: (619)710-8400 Fax:(619)710-2804

This SAFETY AUDIT will be used to assess your safety compliance.

Person(s) Interviewed

Name: Jose Guadalupe Morales Guevara

Title: Owner

Name:

Title:





Part B - Questions and Answers

Question General # 1 - Section # 387.7(a) Acute
Does the carrier have the required minimum level of financial responsibility in effect?

Answer

Yes

Comments

Question General # 2 - Section # 387.7(d) Critical
Does the carrier have required proof of financial responsibility?

Answer

Yes

Comments

Question General # 3 - Section # 390.15(b)(1)
Can the carrier provide a complete accident register of recordable accidents?

Answer

N/A

Comments

Question General # 4 - Section # 390.15(b)(2) Critical
Does the carrier have copies of all accident reports required by States or other government entities or insurers?

Answer

N/A

Comments

Question General # 5 - Section # 390.3(e)
Is the carrier knowledgeable of the FMCSRs/HMRs?

Answer

Yes

Comments

Question General # 6 - Section # 390.21
Does the carrier know the commercial motor vehicles marking requirements?

Answer

Yes

Comments

Question Driver # 1 - Section # 391.51(a) Critical
Does the carrier maintain complete driver qualification files?

Answer

Yes

Comments

Question Driver # 2 - Section # 391.11(b)(4) Acute
Is the carrier using physically qualified drivers?

Answer

Yes

Comments

Question Driver # 3 - Section # 391.45(a), 391.45(b) Critical
Does available evidence indicate the motor carrier has used a driver without a medical certificate or with an expired medical certificate?

Answer

No

Comments

Question Driver # 4 - Section # 391.15(a) Acute
Is the carrier using any disqualified drivers?

Answer

No

Comments





Part B - Questions and Answers

Question Driver # 5 - Section # 391.51(b)(2) Critical
Does the carrier maintain driving and employment history inquiry data in driver qualification files?

Answer

Yes

Comments

Question Driver # 6 - Section # 382.115(a) Acute
Has the carrier implemented an alcohol and/or controlled substances testing program?

Answer

Yes

Comments

Question Driver # 7 - Section # 382.213(b) Acute
Has the carrier used drivers who have used controlled substances?

Answer

N/A

Comments

Question Driver # 8 - Section # 382.215 Acute
Has the carrier used a driver who has tested positive for a controlled substance?

Answer

N/A

Comments

Question Driver # 9 - Section # 382.201 Acute
Has the carrier used a driver known to have an alcohol concentration of 0.04 or greater?

Answer

N/A

Comments

Question Driver # 10 - Section # 382.505(a) Acute
Has the carrier used a driver found to have an alcohol concentration of .02 or greater but less than .04 within 24 hours of being tested?

Answer

N/A

Comments

Question Driver # 11 - Section # 382.301(a) Critical
Has the carrier ensured that drivers have undergone testing for controlled substances prior to performing a safety sensitive function?

Answer

N/A

Comments

Question Driver # 12 - Section # 382.303(a) Critical
Has the carrier conducted post accident testing on drivers for alcohol and/or controlled substances?

Answer

N/A

Comments

Question Driver # 13 - Section # 382.305 Acute
Has the carrier implemented random testing program?

Answer

N/A

Comments

Question Driver # 14 - Section # 382.305(b)(1) Critical
Has the carrier conducted random alcohol testing at an annual rate of not less than the applicable annual rate of the average number of driver positions?

Answer

N/A

Comments





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Part B - Questions and Answers

Question Driver # 15 - Section # 382.305(b)(2) Critical

Has the carrier conducted controlled substance testing at an annual rate of not less than the applicable annual rate of the average number of driver positions?

Answer

N/A

Comments

Question Driver # 16 - Section # 40.305(a)

Has the carrier conducted the required return-to-duty tests on employees returning to safety-sensitive functions?

Answer

N/A

Comments

Question Driver # 17 - Section # 40.309(a)

Is the carrier conducting follow-up testing as directed by the Substance Abuse Professional?

Answer

N/A

Comments

Question Driver # 18 - Section # 382.211 Acute

Has the carrier used a driver who has refused to submit to an alcohol or controlled substances test required under Part 382?

Answer

N/A

Comments

Question Driver # 19 - Section # 382.503 Critical

Has the carrier used a Substance Abuse Professional as required by 49 CFR Part 40 Subpart O?

Answer

N/A

Comments

Question Driver # 20 - Section # 383.23(a) Critical

Has a driver operated a commercial motor vehicle without a current operating license, or a license, which hasn't been properly classed and endorsed?

Answer

No

Comments

Question Driver # 21 - Section # 383.37(a) Acute

Has the motor carrier allowed it's drivers who's CDLs have been suspended, revoked or canceled by a state, have lost the right to operate a CMV in a State, or have been disqualified from operating a CMV to operate a commercial motor vehicle?

Answer

No

Comments

Question Driver # 22 - Section # 383.51(a) Acute

Has the motor carrier knowingly allowed, required, permitted, or authorized a driver to drive who is disqualified to drive a commercial motor vehicle?

Answer

No

Comments

Question Operation #1 - Section # 395.8(a) Critical

Does the carrier require drivers to make a record of duty status?

Answer

Yes

Comments





Part B - Questions and Answers

Question Operation #2 - Section # 395.8(i) Critical **Answer**
Does the carrier require drivers to submit records of duty status within 13 days? Yes

Comments

Question Operation #3 - Section # 395.8(k)(1) Critical **Answer**
Can the carrier produce records of duty status and supporting documents for selected drivers? Yes

Comments

Question Operation #4 - Section # 395.3(a)(1) Critical **Answer**
Has the carrier allowed driver(s) to exceed the 11-hour rule? (Property) No

Comments

Question Operation #5 - Section # 395.3(a)(2) Critical **Answer**
Has the carrier allowed driver(s) to exceed the 14-hour rule? (Property) No

Comments

Question Operation #6 - Section # 395.3(b)(1) Critical **Answer**
Has the carrier allowed driver(s) to drive after having been on duty more than 60 hours in 7 consecutive days? N/A
(Property)

Comments

Question Operation #7 - Section # 395.3(b)(2) Critical **Answer**
Has the carrier allowed driver(s) to drive after having been on duty more than 70 hours in 8 consecutive days? No
(Property)

Comments

Question Operation #8 - Section # 395.5(a)(1) Critical **Answer**
Has the carrier allowed driver(s) to exceed the 10 hour rule? (Passenger) N/A

Comments

Question Operation #9 - Section # 395.5(a)(2) Critical **Answer**
Has the carrier allowed driver(s) to exceed the 15 hour rule? (Passenger) N/A

Comments

Question Operation #10 - Section # 395.5(b)(1) Critical **Answer**
Has the carrier allowed driver(s) to drive after having been on duty more than 60 hours in 7 consecutive days? N/A
(Passenger)

Comments

Question Operation #11 - Section # 395.5(b)(2) Critical **Answer**
Has the carrier allowed driver(s) to drive after having been on duty more than 70 hours in 8 consecutive days? N/A
(Passenger)

Comments





FLETES MORALES (JOSE GUADALUPE MORALES GUEVARA dba)

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01/10/2012

Part B - Questions and Answers

Question Operation #12 - Section # 395.8(e) Critical

Does available evidence indicate a selected driver has prepared a false record of duty status?

Answer

No

Comments

Question Operation #13 - Section #

Does the carrier adhere to a disciplinary policy for noncompliance with Part 395?

Answer

Yes

Comments

Question Operation #14 - Section # 395.1(e)

Does the carrier have a system for recording hours of duty status on 100- mile radius drivers, and are they properly utilizing the 100 air-mile radius exemption?

Answer

N/A

Comments

Question Operation #15 - Section # 392.2 Critical

Does the motor carrier ensure that drivers operate commercial motor vehicles in accordance with the laws, ordinances, and regulations of the jurisdictions in which they are operating?

Answer

Yes

Comments

Question Operation #16 - Section # 392.9(a)(1) Critical

Does the carrier ensure that drivers are not permitted to drive a vehicle without the cargo properly distributed and adequately secured?

Answer

Yes

Comments

Question Operation #17 - Section # 392.4(b) Acute

Have any drivers operated a commercial motor vehicle while under the influence of, or in possession of, narcotic drugs, amphetamines, or any other substances capable of rendering the drivers incapable of safely operating motor vehicles?

Answer

No

Comments

Question Operation #18 - Section # 392.5(b)(1) Acute

Have any drivers operated a commercial motor vehicle while under the influence of, or in possession of, intoxicating beverages?

Answer

No

Comments

Question Operation #19 - Section # 392.5(b)(2) Acute

Have any drivers operated a commercial motor vehicle within 4 hours of having consumed intoxicating beverages?

Answer

No

Comments

Question Maintenance # 1 - Section # 396.3(b) Critical

Can the carrier produce maintenance files for requested vehicle(s)?

Answer

Yes

Comments





Part B - Questions and Answers

Question Maintenance # 2 - Section # 396.17(a) Critical

Can the motor carrier produce evidence of periodic (annual) inspections for selected vehicles?

Answer

Yes

Comments

Question Maintenance # 3 - Section # 396.11(a) Critical

Does the motor carrier require drivers to complete vehicle inspection reports daily?

Answer

Yes

Comments

Question Maintenance # 4 - Section # 396.11(c) Acute

Does the carrier ensure that out-of-service defects listed by the driver in the driver vehicle inspection reports are corrected before the vehicle is operated again?

Answer

N/A

Comments

Question Maintenance # 5 - Section # 396.9(c)(2) Acute

Does the carrier ensure vehicles that have been declared "out-of-service" do not operate before repairs have been made?

Answer

N/A

Comments

Question Maintenance # 6 - Section # 396.19

Is the carrier using qualified inspectors (mechanic) and maintaining evidence of the inspector's qualifications?

Answer

Yes

Comments

Question Maintenance # 7 - Section # 396.3

Can the carrier explain its systematic, periodic maintenance program?

Answer

Yes

Comments

Question Other # 1 - Section # 375.211

Does the carrier participate in an Arbitration Program?

Answer

N/A

Comments

Question Other # 2 - Section # 13702

Does the carrier assess shipper freight charges based upon published tariffs?

Answer

N/A

Comments

Question Other # 3 - Section # 375.401(c)

Does the carrier provide reasonably accurate estimates of moving charges?

Answer

N/A

Comments

Question Other # 4 - Section # 375.407(a), 375.703(b)

Has the carrier avoided "hostage freight" or other predatory practices?

Answer

N/A

Comments





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Part B - Questions and Answers

Question Other # 5 - Section # 387.301(a), 387.301(b)

Does the HHG carrier have sufficient levels of public liability and cargo insurance?

Answer

N/A

Comments

Question Other # 6 - Section # 13901

Is the motor carrier authorized to conduct interstate operations in the United States?

Answer

Yes

Comments

Note: No Hazardous Materials questions were asked because the carrier does not carry Hazardous Materials in Interstate Commerce.





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Part B Requirements and/or Recommendations

1. The Federal Motor Carrier Safety Administration has a Spanish language version of its website at: www.fmcsa.dot.gov/spanish/.
2. A complete Educational and Technical Assistance package entitled " A MOTOR CARRIER'S GUIDE TO IMPROVING HIGHWAY SAFETY" is available free on the FMCSA website to assist you in complying with the safety regulations. It contains many forms and documents useful for improving the safety of your operations. Check: www.fmcsa.dot.gov/factsfigs/eta/index.html.
3. The DataQs system is an electronic means for filing concerns about Federal and State data released to the public by the Federal Motor Carrier Safety Administration (FMCSA). Through this system, data concerns are automatically forwarded to the appropriate office for resolution. The system also allows filers to monitor the status of each filing. Check and update records
 1. Motor Carrier Census (Form MCS -150)
 2. Routinely monitor and review inspection and crash data
 3. Question potentially incorrect data (DataQs: <https://dataqs.fmcsa.dot.gov>)



UNITED STATES DEPARTMENT OF TRANSPORTATION



Legal: JOSE GUADALUPE MORALES GUEVARA
Operating (DBA): FLETES MORALES

MC/MX #: 316863 **RFC #:** MOGJ540914287 **Federal Tax ID:** 20-1827702 (EIN) **Application Tracking #:** 11034

Review Type: Safety Audit - Pre-Authority (OP1) - Receipt

Scope: Entire Operation **Location of Review/Audit:** Company facility in another country **Territory:**

Operation Types		Interstate	Intrastate	Business: Individual Gross Revenue: _____ for year ending: _____
Carrier:	Non-HM	N/A		
Shipper:	N/A	N/A		
Cargo Tank:	N/A			

Company Physical Address:

CALLE QUINTANA ROO # 1225
 ENSENADA, BN 22840 MEXICO

Contact Name: Jose Guadalupe Morales Guevara
Phone numbers: (1) 646- 112-0066 (2) _____ **Fax** 646-120-5899
E-Mail Address: morales.trucking@hotmail.com

Company Mailing Address:

2455 OTAY CENTER DRIVE #118
 SAN DIEGO, CA 92154

Report Summary

Report	# of Pages
Part A - General	2
Part B - Questions & Answers	7
Part B - Recommendations	1
Review/Audit Receipt Page	1
Total Pages	11

Disclaimer: By signing below, I acknowledge that I have received a copy of this review/audit and agree with the total number of pages indicated (above) for each document. My signature does not imply agreement with the findings of the review/audit, however they have been discussed in detail with me.

Questions about this report or the Federal Motor Carrier Safety or Hazardous Materials regulations may be addressed to the Federal Motor Carrier Safety Administration at:

2297 Niels Bohr Court, Suite 204
 San Diego (Otay Mesa), CA 92154
 Phone: (619)710-8400 Fax:(619)710-2804

This SAFETY AUDIT will be used to assess your safety compliance.

Person(s) Interviewed

Name: Jose Guadalupe Morales Guevara **Title:** Owner
Name: _____ **Title:** _____

Reported By: _____ **Title:** _____ **Code:** US1216 **Date:** 1/10/2012

Received By: _____ **Title:** _____



DRIVER/VEHICLE EXAMINATION REPORT

Aspen 2.13.1.2



CHP 407F/343A-Aspen
California Highway Patrol
FOR INFORMATION ONLY - DO NOT RETURN TO CHP
For specific questions call the number below
Phone: (916) 843-3400

Report Number: CA39WM018372
Inspection Date: 01/06/2012
Start: 11:00:38 AM PT End: 11:11:58 AM PT
Inspection Level: I - Full
HM Inspection Type: None

JOSE GUADALUPE MORALES GUEVARA
2455 OTAY CENTER DRIVE F 117
SAN DIEGO, CA 92154
USDOT#: 00683409 Phone#: (646)177-0099
MC/MX#: 316863 Fax#:
State#:
Location: Otay Mesa IF
Highway: SR-905
County: SAN DIEGO, CA

Driver: (b)(6) (b)(7)c
License#: (b)(6) (b)(7)c State: MX
Date of Birth: (b)(6) (b)(7)c
CoDriver:
License#: State:
Date of Birth:
Shipper:
Origin: ENSENADA, BN
Destination: SAN DIEGO, CA
Bill of Lading:
Cargo: EMPTY

VEHICLE IDENTIFICATION

Table with columns: Unit, Type, Make, Year, State, Plate #, Equipment ID, VIN, GVWR, CVSA #, CVSA Issued #, OOS Sticker. Row 1: 1, TT, FRHT, 2002, CA, 9E17498, WHTCONV, 3AKJAHCG62DJ90317, 80,000, EXPIRED, 16656347

BRAKE ADJUSTMENTS: No Brake Measurements Recorded.

VIOLATIONS: No Violations Were Discovered.

HazMat: No HM Transported.

Placard: No Cargo Tank:

Special Checks: Size & Weight

State Information:

Beat/Sub Area: 859; Veh #1 Type: 25; Regulated Vehicle: Y; Pre-Cleared Vehicle: N;

This copy of the report is for your information. Carriers are required to take corrective actions for all defects noted. DO NOT return this form to the California Highway Patrol. See the reverse side of this form or the attached sheet for additional instructions. NOTE: If a citation was issued, you MUST follow the instructions listed on the citation.

Signature Of Motor Carrier X: Title: Date:

Report Prepared By: CHRISTINA SANCHEZ

Badge #: A13710 Copy Received By: (b)(6) (b)(7)c

Page 1 of 1



00683409 CA CA39WM018372

X

DRIVER/VEHICLE EXAMINATION REPORT

Aspen 2.13.2.4



U.S. DEPARTMENT OF TRANSPORTATION
FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION
2297 Niels Bohr Court #204
San Diego, California
92154

Report Number: US1354121930
Inspection Date: 01/06/2012
Start: 11:03:48 AM PT End: 11:32:53 AM PT
Inspection Level: III - Driver Only
HM Inspection Type: None

JOSE GUADALUPE MORALES GUEVARA
2455 OTAY CENTER DRIVE F 117
SAN DIEGO, CA 92154

USDOT#: *****
MC/MX#: 316863
State#:

Phone#: (646)177-0099
Fax#:

Location: OTAY MESA CHP FACILITY
Highway: I - 905
County: SAN DIEGO, CA

MilePost: Shipper: NA
Origin: TIJUANA, B.C. MX Bill of Lading: NA
Destination: SAN DIEGO, CA Cargo: EMPTY

Driver: (b)(6) (b)(7)c
License#: (b)(6) (b)(7)c
Date of Birth: (b)(6) (b)(7)c State: BN
CoDriver:
License#: State:
Date of Birth:

VEHICLE IDENTIFICATION

Unit	Type	Make	Year	State	Plate #	Equipment ID	VIN	GVWR	CVSA #	CVSA Issued #	OOS Sticker
1	TT	FRHT	2002	CA	9E17498		3AKJAHCG62DJ90317	80,000			

BRAKE ADJUSTMENTS: No Brake Measurements Required For Level 3

VIOLATIONS: No Violations Were Discovered.

HazMat: No HM Transported.

Placard: No Cargo Tank:

Special Checks: PASA Inspection

Report Prepared By:
DAVID CHAVEZ

Badge #:
US1354

Copy Received By:

(b)(6) (b)(7)c

Page 1 of 1



00683409 CA US1354121930

X