

# *New Entrant Focus Area*

FAST ACT Implementation

***MCSAP FY2017***

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# Presenters

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# Agenda

- FY17 New Entrant Focus Area
  - Priorities
  - FAST Act Implementation
- Grant Application Considerations
- New Entrant Program & Offsite Overview/Rollout



# New Entrant Focus Area

## FY 2017 National Priority

### ➤ Process and complete safety audits within the statutory time limits

- Entry date into the New Entrant program (as shown in FMCSA data systems) September 30, 2013 or earlier: safety audit must be completed within 18 months; and
- Entry date into the New Entrant program (as shown in FMCSA data systems) October 1, 2013 or later: safety audit must be completed within 12 months for all motor carriers and 120 days for motor carriers of passengers.



# FAST Act Implementation

- New Entrant merged into MCSAP

*(other programs: Basic, BEG)*

	FY16	FY17	FY18	FY19	FY20
<b>MCSAP</b>	\$218,000,000	\$292,600,000	\$298,900,000	\$304,300,000	\$308,700,000
<b>BEG</b>	\$32,000,000	Collapses into MCSAP FY17-20			
<b>New Entrant</b>	\$32,000,000	Collapses into MCSAP FY17-20			

- eCVSPs being updated to accommodate changes



# FAST Act Implementation

- All States will be required to conduct interstate New Entrant safety audits for MSCAP funding eligibility.
  - Non-NE Lead agency coordinate with NE agency as sub-grantee.
  - Use of third-party Safety Auditors will be allowable
- Lead MCSAP agency responsible for third-party and sub-grantee



# FAST Act Implementation

- Intrastate safety audits will be allowable
  - Guidelines currently being developed



# Application Considerations



# Application Considerations

## Narrative

- Clearly written
  - Don't assume reader is familiar with your state
- Be Concise
- Bullet points/Table



# Application Considerations

## Grant Goals Determination

- Evaluate Workload
- Staff level
- Overtime %
- Safety Audits
  - Offsite vs Onsite
  - Group Audits



# Application Considerations

## Expenses

### ➤ Training

- Initial Certification
- In-service
- Retest if certification lapses

### ➤ Access to FMCSA systems

- MCMIS
- Query Central
- Sentry
- New Entrant Web System (NEWS)



# Application Considerations

## Expenses

- Equipment & Supplies
- Contractual
- Vehicles
- Travel Cost
- Other Misc. Expenses



# Questions



# New Entrant Offsite Test Overview

- Dates: July 15, 2013 – December 31, 2014
- Participating States
  - AK, CA, FL, IL, MT, NY
- Introduced New Entrant Web System (NEWS)
  - Determines initial carrier eligibility (for an Onsite or Offsite Audit)
  - Manages all assignments
  - Manages carrier documentation
  - Used for conducting the Offsite Audit
- Offsite Audit consists of 17 questions
  - Generates a Pass or Fail

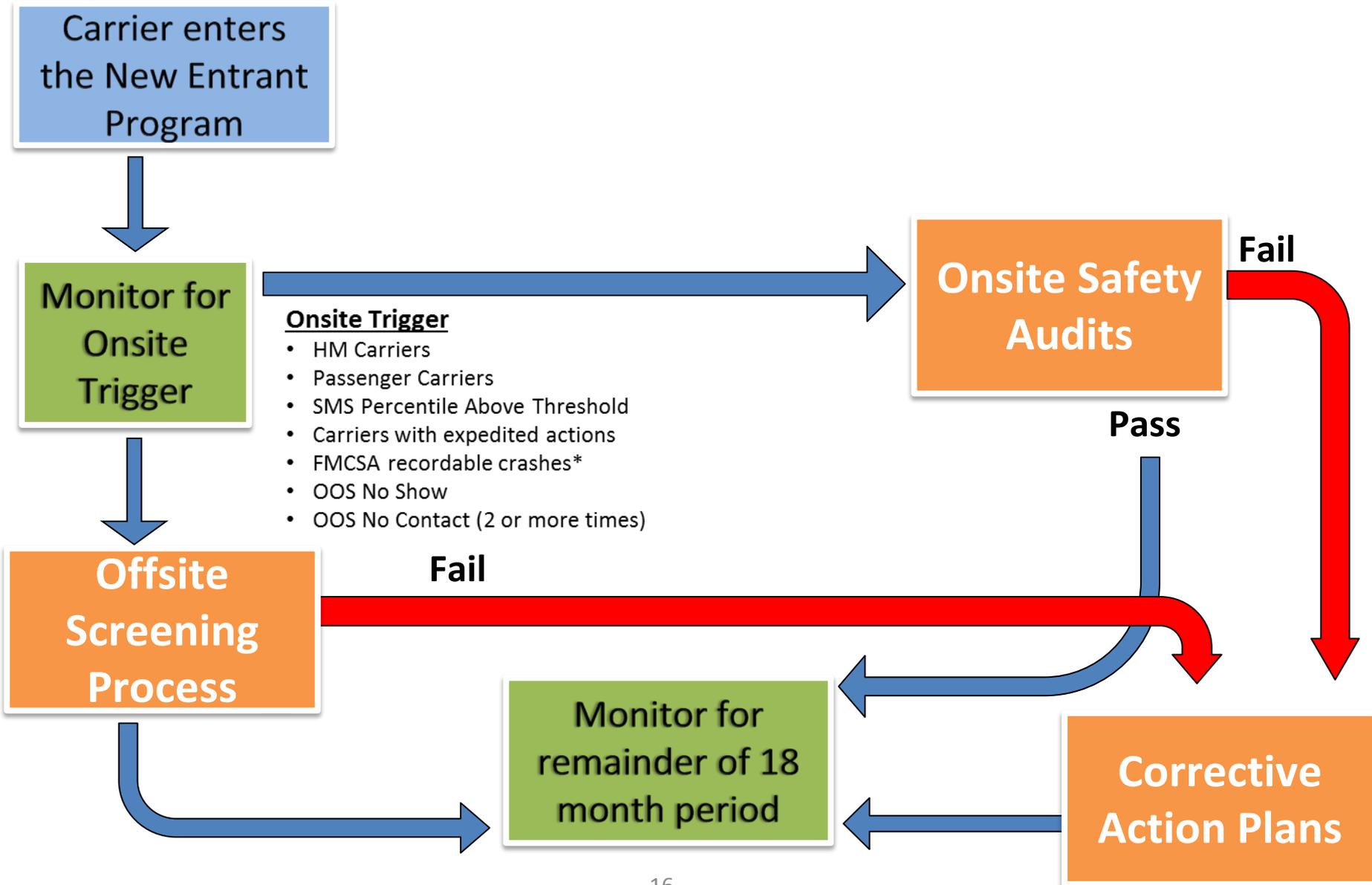


## Risk-Based Approach

- Trying to determine if an ‘Onsite Safety Audit’ is warranted
- Onsite Triggers
  - HM Carriers requiring placards
  - Passenger Carriers
  - SMS Percentile Above Threshold
  - Carriers with Expedited Actions
  - OOS No-Show
  - OOS No-Contact (2 or more times)
  - FMCSA Recordable Accident



# High Level Process



\* Manager can override

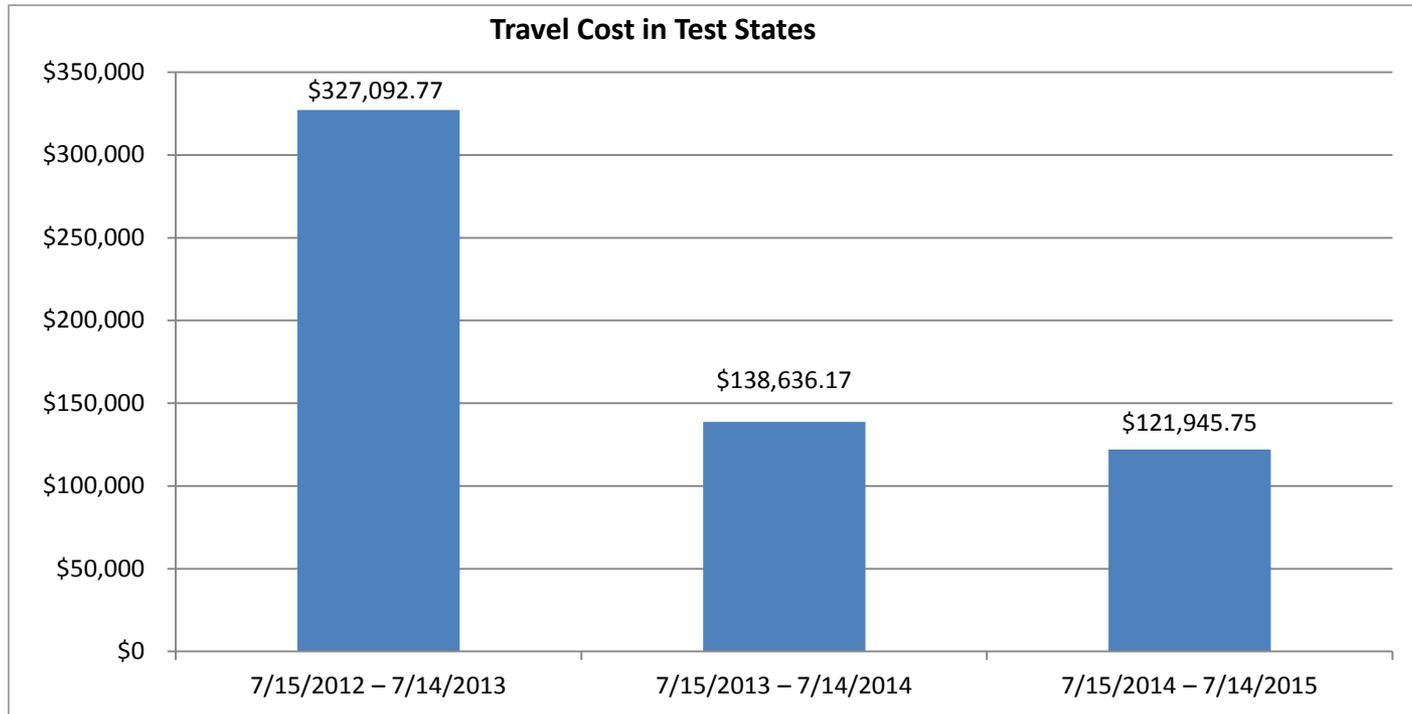
## Educational Components for Carriers

- Public webpage with basic information
  - Public site is currently being expanded to include more information
- Logged in page for carriers to upload Safety Audit documents
  - Walks carrier through each document needed and specific regulation, links for additional resources and the specific regulation
- Developing a public view of the carrier page for all carriers to prepare for a Safety Audit (onsite or offsite)



# Test Outcomes

- Large savings in travel cost



## Test Outcomes

- ~65% of audits done in the Test States were Offsite Audits
- Reduces the number of hours to conduct a Safety Audit by 33%
- No negative impact on safety
  - Crash and violations rates for carriers receiving an Offsite Safety Audit are slightly lower than those receiving an Onsite Safety Audit



# National Rollout Plan

- Phased roll-out
  - **Phase 1** began in June 2015 with 13 States
  - **Phase 2** began February 2016 with 16 States
  - 30–36 months to completion
  - Train-the-trainer approach using existing Test State auditors and managers as trainers
  - **Mentoring Program:** Test States will mentor Phase 2 States



# State Participants

## Test States (6)

- Alaska
- California
- Florida
- Illinois
- Montana
- New York

## Phase 1 (13)

- Georgia
- Maine
- Michigan
- Minnesota
- Mississippi
- North Carolina
- North Dakota
- Oregon
- South Carolina
- South Dakota
- Washington, DC
- Wyoming
- Vermont

## Phase 2 (16)

- Alabama
- Arizona
- Connecticut
- Hawaii
- Iowa
- Kansas
- Kentucky
- Massachusetts
- Missouri
- New Jersey
- Pennsylvania
- Tennessee
- Utah
- Virginia
- West Virginia
- Wisconsin



# Offsite Safety Audit Training

- Audience
  - Auditor's portion (~2-2.5 days): Anyone who will be conducting audits or will manage those that perform audits.
  - Manager's portion (~.5 days): Anyone who will be making assignments
- New procedures will be effective the Monday after training
- Receive positive feedback from training, mainly the hands-on/real life exercises familiarizing auditors and managers with the IT system



# Questions

