

PRISM Quarterly Report for Denials and Suspensions/Revocations

State Agency Reporting: _____

System Type: _____

Fiscal Year: _____ Fiscal Year Quarter: _____

Date of Report: _____

PRISM Participation Level: _____

State Representative(s): _____

Out-of-Service Reason (MCSIP Step)	Denials		Suspensions/Revocations	
	Carriers	Vehicles*	Carriers	Vehicles
Imminent Hazard (54 & 60)				
Unsatisfactory/Unfit (55 & 61)				
Failure to Pay Fine for Safety Violation (57 & 62)				
New Entrant Revoked Refusal of Audit / No Contact (63 & 67)				
New Entrant Revoked Failure of Safety Audit (64 & 68)				
New Entrant Revoked Expedited Actions (56 & 58)				
Operating Without Authority (65 & 66)				
Denial of Access (53)				
Biennial Update Not Completed (Step 52)				

*Optional for Enhanced Participation

Reincarnated Carrier*				
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PRISM Quarterly Report – Instructions

Header

State Agency Reporting: Enter the name of the State agency reporting the denials and suspensions/revocations.

System Type: Choose a system from the drop-down menu to indicate where the data is coming from. This form can be used to report data from International Registration Plan (IRP), non-IRP, oversize/overweight and trip permitting agencies. Multiple forms can be submitted for each quarter from the same State, depending on how many applicable systems in the State use PRISM.

Fiscal Year: Enter the fiscal year.

Fiscal Year Quarter: Choose the fiscal year quarter being reported from the drop-down menu. The Fiscal Year quarter schedule is listed as follows:

FY Q1 - October to December (due beginning of January)

FY Q2 - January to March (due beginning of April)

FY Q3 - April to June (due beginning of July)

FY Q4 - July to September (due beginning of October)

Date of Report: Enter the date the report is completed on.

PRISM Participation Level: Choose your State's PRISM Participation Level from the drop-down menu. The options are Partial Participation, Full Participation, Enhanced Participation and Expanded PRISM.

State Representative(s): Enter the name(s) of the State Representatives from applicable State agency.

Denials and Suspensions/Revocations

Denial: A denial is when a motor carrier is denied new registration due to a Federal OOS order. This generally occurs when a motor carrier applies for new registration or attempts to add vehicles to their registration account.

Suspension: A suspension is issued when a motor carrier with existing registration gets identified on the daily PRISM targeted data, due to a Federal OOS order and as a result, all vehicle registrations associated with that safety carrier get suspended.

Full Participation States: Enter the total number of registration denials and suspensions/revocations. For suspensions, include the total numbers of carriers and vehicles. For denials, include the total number of carriers and (*optional*) include the total number of vehicles denied, if that information is available.

Enhanced Participation States: Enter the total number of denials and/or suspensions/revocations for potential reincarnated carriers. This number is in addition to what is reported for the original OOS carrier. For example, if you have one denial for a reincarnated carrier where the original OOS carrier had an Unsat/Unfit OOS order, then you would include 1 suspension or 1 denial for the Unsat/Unfit in the top section, as well as 1 denial in the reincarnated carrier section at the bottom of the form.

Expanded Participation States: Enter the total number of denials and suspensions/revocations for non-IRP interstate vehicles with a gross vehicle weight range between 10,001 and 26,000 lbs and intrastate vehicles with a gross vehicle weight of 10,001 lbs. and above.

Reincarnated Carrier: A motor carrier placed under a Federal OOS order that attempts to reincarnate under a new USDOT number, company name or company official to continue operating and evade FMCSA sanctions.

MCSIP STEP CHART

Step Number	Targeted at Roadside	Deny Registration	Step Description	External Description for Web Sites, Inspection Software etc.
0	N	N	Not In MCSIP	Not Targeted
3	N	N	Past Due CR	Not Targeted
7	N	N	Mandatory CR	Not Targeted
22	N	N	Compliance Review Complete	Not Targeted
52	Y	Y	Biennial Update not Completed	Biennial Update not Completed
53	Y	y	OOSO – Denial of Access	OOS: Denial of Access
54	Y	Y	OOSO – Imminent Hazard	OOS: Imminent Hazard
55	Y	Y	OOSO – Unsat/Unfit	OOS: Unsat/Unfit Motor Carrier
56	Y	Y	OOSO – New Entrant Revoked for Expedited Actions and Intrastate Out-of-Service	New Entrant – OOSO Revoked for Expedited Actions and Intrastate Out-of-Service
57	Y	Y	OOSO – Failure to Pay Fine for Safety Violation	OOS: Failure to Pay
58	Y	Y	OOSO – New Entrant Revoked for Expedited Actions	New Entrant – OOSO Revoked for Expedited Actions
59	Y	N	Intrastate Out-of-Service	Intrastate Out-of-Service
60	Y	Y	OOSO – Imminent Hazard and Intrastate Out-of-Service	OOS: Imminent Hazard and Intrastate Out-of-Service
61	Y	Y	OOSO – Unsat/Unfit and Intrastate Out-of-Service	OOS: Unsat/Unfit Motor Carrier and Intrastate Out-of-Service
62	Y	Y	OOSO – Failure to Pay and Intrastate Out-of-Service	OOS: Failure to Pay and Intrastate Out-of-Service
63	Y	Y	OOSO – New Entrant Revoked for Refusal of Audit/No Contact	New Entrant – OOSO Revoked for Refusal of Audit/No Contact
64	Y	Y	OOSO – New Entrant Revoked for Failure of Safety Audit	New Entrant – OOSO Revoked for Failure of Safety Audit
65	Y	Y	OOSO –Operating without Authority	OOS: Operating without Authority
66	Y	Y	OOSO –Operating without Authority and Intrastate Out-of-Service	OOS: Operating without Authority and Intrastate Out-of-Service
67	Y	Y	OOSO – New Entrant Revoked for Refusal of Audit/No Contact and Intrastate Out-of-Service	New Entrant – OOSO Revoked for Refusal of Audit/No Contact and Intrastate Out-of-Service
68	Y	Y	OOSO – New Entrant Revoked for Failure of Safety Audit and Intrastate Out-of-Service	New Entrant – OOSO Revoked for Failure of Safety Audit and Intrastate Out-of-Service
99	N	N	Carrier Out of Business	Not Targeted