Waiver of Knowledge Test Training for Certain Third Party CDL Test Examiners in Response to the COVID-19 Emergency

April 9, 2020

AGENCY: Federal Motor Carrier Safety Administration (FMCSA), DOT.

ACTION: Grant of waiver.

SUMMARY: FMCSA grants a waiver from certain regulations applicable only to States as defined in 49 CFR 383.5. FMCSA has initiated this action in response to the President’s Proclamation Declaring a National Emergency under 42 U.S.C. § 5191(b) (Declaration of National Emergency) concerning the Coronavirus Disease 2019 (COVID-19).

DATES: This waiver is effective April 9, 2020 and expires either on June 30, 2020, or upon the revocation of the President’s Declaration of National Emergency under 42 U.S.C. § 5191(b) concerning the COVID-19 public health emergency, whichever is sooner.


Legal Basis
The Transportation Equity Act for the 21st Century (TEA-21) (Pub. L. 105-178, 112 Stat. 107, June 9, 1998) provides the Secretary of Transportation (the Secretary) authority to grant waivers from any of the Federal Motor Carrier Safety Regulations issued under Chapter 313 of Title 49 of the United States Code or 49 U.S.C. § 31136, to a person(s) seeking regulatory relief (49 U.S.C. §§ 31136(e), 31315(a)). The Secretary must make a determination that the waiver is in the public interest and that it is likely to achieve a level of safety that is equivalent to, or greater than, the level of safety that would be obtained in the absence of the waiver. Individual waivers may be granted for unique events for a period up to three months. TEA-21 authorizes the Secretary to grant waivers without requesting public comment, and without providing public notice.

The FMCSA Administrator has been delegated authority under 49 CFR 1.87(e) and (f) to carry out the functions vested in the Secretary by 49 U.S.C. chapter 313, relating to commercial motor vehicle operators, and 49 U.S.C. chapter 311, subchapter I and III, relating to commercial motor vehicle programs and safety regulations.

Background
On March 13, 2020, the President declared a national emergency under 42 U.S.C. § 5191(b) related to the public health emergency caused by COVID-19. This waiver is in response to
COVID-19 outbreaks and the immediate risk they present to public health, safety, and welfare. A number of States are experiencing greater than normal employee absences or have closed offices of their State Driver Licensing Agencies (SDLA) in response to the guidance from the U.S. Centers for Disease Control and Prevention to use social distancing to reduce the spread of COVID-19. As a result, some SDLAs may be unable to administer the knowledge tests to commercial learner’s permit (CLP) applicants. Given the public health emergency, there is a public need for immediate transportation of essential supplies, equipment, and persons, which requires an adequate and sustained supply of drivers trained to operate a commercial motor vehicle (CMV). This waiver provides needed relief from the specified Federal motor carrier safety regulations (FMCSRs) for States and SDLAs.

**FMCSA’s Determination and Regulatory Provisions Waived**

Consistent with the statutory requirements for waivers, FMCSA has determined that it is in the public interest to issue a waiver, until June 30, 2020, limited in scope and circumstances, that is likely to achieve a level of safety that is equivalent to, or greater than, the level of safety that would be obtained in the absence of the waiver.

The regulation at 49 CFR 384.228(b) sets forth the requirement that CDL knowledge and skills test examiners satisfy specific training requirements. The regulation at 49 CFR 384.228(c) requires that all CDL knowledge testers complete a training course covering three specified units of instruction: Introduction to the CDL Licensing System, Overview of CDL Tests, and Knowledge tests. In response to the COVID-19 public health emergency, FMCSA is waiving the requirements in 49 CFR 384.228(b)-(c), for third party CDL test examiners who previously completed a CDL skills test examiners training course that meets the requirements of 49 CFR 384.228(d) and have maintained a valid CDL test examiner certification. This waiver allows third party CDL test examiners previously authorized by the State to administer the CDL skills test to also administer the CDL knowledge test without completing a CDL knowledge test training course.

States and SDLAs are covered under this waiver without further action. FMCSA will not issue a finding or a determination of substantial noncompliance under 49 CFR part 384 against States for action or inaction consistent with this waiver.

**Public Interest**

FMCSA finds that granting this waiver is in the public interest because CDL and CLP holders play a critical role in delivering necessary passengers and property, including shipments of essential supplies, in response to the COVID-19 public health emergency. This waiver is in the public interest because it would allow States and SDLAs to use third party CDL test examiners to continue administering CDL knowledge tests while SDLAs remain closed, are unable to

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1 FMCSA Guidance Q1 to 49 CFR 383.75 states that a third party may not administer the CDL knowledge test unless an SDLA employee is present. That guidance assumes normal SDLA operating conditions, however, and does not apply under this waiver.
administer CDL knowledge tests, or are operating at a diminished capacity due to the COVID-19 national emergency. The continued availability of CDL knowledge testing will help maintain an adequate and sustained supply of trained CMV drivers to deliver essential supplies and persons across State lines to address the public health emergency and help prevent a possible shortage of CMV drivers. This waiver will also reduce the administrative burden on States and SDLAs during this public health emergency.

Safety Equivalency
Due to the limited scope of this waiver and the ample precautions that remain in place, FMCSA has determined that the waiver is likely to achieve a level of safety that is equivalent to the level of safety that would be obtained absent the waiver. The waiver of a particular regulation should not be viewed in isolation but rather as part of the whole of all regulations governing the safety of drivers. Waiver determinations are made holistically, taking all relevant factors into account. See International Bhd of Teamsters v. DOT, 724 F.3d 206 (D.C. Cir. 2013).

FMCSA has determined that waiving the CDL knowledge test examiner training requirements for third party CDL skills test examiners who previously completed a CDL skills test examiners training course will not negatively impact safety. Due to an overlap in training topics, such examiners would have already taken a CDL skills test examiner training course that complies with 49 CFR 384.228(d) and would have already received training on two of the three required units of instruction for the CDL knowledge test examiner training. The only unit required under 49 CFR 384.228(c) not covered by that training is the knowledge test unit. To ensure that third party CDL test examiners will receive all the necessary information and training required by 49 CFR 384.228(c) prior to administering a knowledge test, the State must provide them with access to the written training/instructional materials for the knowledge test unit referenced in 49 CFR 384.228(c)(3). States may provide these materials electronically or through other means. Under this waiver, the State is not required to provide third party CDL test examiners with formal in person or online training.

In addition, this waiver will not negatively impact safety because the CDL knowledge tests are easier to administer than the skills tests. The CDL knowledge test examiner has minimal direct involvement in administering the tests. The knowledge tests require the administration of written multiple-choice tests and approximately four hours of CDL test examiner training. In contrast, the CDL skills test examiner has substantial direct involvement in the administration of the tests. The skills tests require the observation and scoring of demonstrated driving maneuvers and several days of examiner training. For these reasons, trained skills test examiners would be capable of administering the knowledge tests without first taking a CDL knowledge test training course.

The measures listed below under Terms, Conditions, and Restrictions, taken collectively, provide the assurance needed to meet the legal standard that granting the waiver is likely to achieve an “equivalent level of safety.” Therefore, FMCSA has determined that a waiver from the regulations noted above during the period of the waiver is likely to achieve a level of safety that is equivalent to, or greater than, the level of safety that would be obtained in the absence of the waiver. The remaining requirements for CDL test examiners continue to apply.
**Unique Circumstances**

COVID-19 outbreaks have led to widespread closures of State and Federal government offices, reduction of government services, and disruption of transportation systems, including possible driver shortages and related interruption of supply chains, which are heavily dependent on continued CMV operations. FMCSA finds that the circumstances surrounding this waiver are unique because such government operations are not providing their usual level of service.

For the reasons above, FMCSA grants a waiver as provided above, subject to the terms, conditions, and restrictions below.

**Terms, Conditions, and Restrictions of the Waiver**

This waiver covers States and qualifying third party CDL skills testers for the period beginning at 12:01 a.m. (ET) on April 9, 2020, and continuing through 11:59 p.m. on June 30, 2020, or the revocation of the President’s Declaration of National Emergency under 42 U.S.C. § 5191(b) concerning the COVID-19 public health emergency, whichever is sooner.

(1) Under this waiver, the State is not required to provide third party CDL skills test examiners with formal in person or online training in administering the CDL knowledge tests. However, the State must provide these examiners with access to written training/instructional materials for the knowledge test training referenced in 49 CFR 384.228(c)(3) regarding the general and specialized knowledge tests, administration of the knowledge test, and the selection of the appropriate tests and test forms. States may provide these materials electronically or through other means.

(2) This waiver does not apply to third party CDL test examiners who have not maintained their CDL test examiner certification.

(3) This waiver does not apply to third party CDL test examiners who had not completed a CDL skills test examiners training course that meets the requirements of 49 CFR 384.228(d) prior to the date of this waiver.

Jim Mullen  
Acting Administrator