INSTRUCTIONS FOR ONLINE REPORTING OF DOT FMCSA DRUG AND ALCOHOL TESTING RESULTS

The Federal Motor Carrier Safety Administration (FMCSA) collects drug and alcohol testing results in order to quantify the incidence of drug and alcohol abuse in the commercial motor vehicle industry; this data is used to determine FMCSA's random drug and alcohol testing rates for the next year.

Each year FMCSA collects data from a random sample of companies with fewer than 1,000 drivers, and from all companies with 1,000 or more drivers.

FMCSA notifies companies of reporting requirements by email (and physical mail if email bounces back undeliverable). Your notification includes a username and password that allows you to complete the required summary report online (or using a downloadable form). All interstate and intrastate commercial motor vehicle operators (including owner-operators) are required to participate in a U.S. Department of Transportation (DOT) drug and alcohol program.

You must complete your MIS filing or respond to the notice you received for the previous year's drug and alcohol results by March 15 of the current year.

Note: If you encounter technical problems with the site, please call 617-494-6336.

Instructions: Employer Section

U.S. Department of Transportation	Drug and Alcoho Management Inf	Federal Motor Carrier Safety Administration F.M.C.S.A.		
			Logout	
Status				
Com	pany Name	Data	Status	
Test Company 1 Orange, TN		Enter or Edit Your Data View Your Data(Read Only) MIS Data Collection Form (PDF Format)	Data is Incomplete 01/31/XXXX 07:31:54 AM	
Legend:	Data is Incomplete	No Data Has Been Entered	Completed and Signed	
	Enter or Edit	Reader®™, available from Adobe System re.com/prodindex/acrobat/readstep.html Your Data ata(Read Only) Ilection Form (PDF For	Privacy Policy duction Act Notice y 5 CFR 1320.21)	

Log on to https://damis.dot.gov/login/default.aspx by entering the user name and password provided in your notification; then select "enter data".

U.S. Department of Transportation Management	ohol Testing t Information System	Federal Motor Carrier Safety Administration F.M.C.S.A							
Status Logout Instructions Please enter your data in each of the five sections below. Completed sections will be denoted with a check mark on the section									
tab. Navigate to different sections by clicking t Employer Information Covered Employe	he section tab, or by clicking the button(s) at the l es 🗸 Drug Testing Data 🗸 Alcohol Testin								
<u>I. Employer</u>									
Company Name:	Your Company Name								
Doing Business As (DBA) Name (if applicable):									
Address:	123 Address Road								
<u>City</u> :	Metropolis								
State:	Pennsylvania V								
Zip Code:	12345								
<u>E-Mail</u> :	JDoe@YourComapany.com								
Disposition Code:									
<u>Name of Certifying Official</u> : <u>Phone (and extension)</u> :	James Smith 255-555-1234 2								
<u>Prepared by (if different)</u> : <u>Phone (and extension)</u> :	3								
Consortium/Third Party Administrator (C/TPA) (if applicable): Phone (and extension):									
You are reporting MIS data to: FMCSA - Moto	or Carrier 5								
	DOT #: 7654321	6							
Are you an own	ner/operator? Oyes 💿 No 7								
Are	you exempt? 🔾 Yes 💿 No 🛛 🛽 🛛 🛛 🛛 🛛 🖉								
	>> Covered Employees	Privacy Policy							
		Paperwork Reduction Act Notice (as required by 5 CFR 1320.21)							

 Enter your company's name, "doing business as" name (if applicable), address, and email.

2 Enter the name and complete telephone number of the company official certifying the accuracy of the report and the date that the person certified the report. The company official cannot be a service agent (e.g., representative of a consortium or third party administrator). A service agent can prepare the report, but a company official (e.g., safety officer or other authorized company representative) must certify the accuracy of the report by submitting it.

If someone other than the certifying official completed the D&A testing form, enter that person's name and phone number.

4 If you use a Consortium/Third Party Administrator (C/TPA), please enter the name and phone number.

5 Please verify FMCSA is typed in. This may be auto-filled.

6 Please enter your company's DOT#.

If you are the only employee at the company, please select "yes" for owner-operator. If you have more than one employee, please select "no".

8 If you are operating vehicles that require CDLs on the public roads in intrastate or interstate commerce, please select "no" for exempt. In addition, an owner-operator is required to be registered in a consortium. (A consortium is a DOT random pool comprised of many different companies.) Please make sure you have the consortium name and phone number listed in the drug and alcohol testing report if you are an owner-operator.

Instructions: Employer Section

Orug and Alcohol To of Transportation Management Inform		Federal Motor Carrier Safety Administration F.M.C.S.A Mathematics
		Status Logout
Instructions Please enter the total number of employees in each emplo nave no employees.	yee category below. Enter a zero	for any employee category for which you
	Drug Testing Data 🛛 🧹 Alcohol	Testing Data 🧹 Wrap Up
II. Employees Subject to Testing:		
Employee Category Driver	9 <u>Total N</u>	Number of Employees in this Category ⑦
Total Number of Employees in this Category:		1
Employer Inf	ormation << >> Drug Testing Data	1
		Privacy Policy Paperwork Reduction Act Notice
		(as required by 5 CFR 1320.21)

9 Safety sensitive categories are job positions that require participation in a drug and alcohol testing program. FMCSA has only one safety-sensitive category, which is "drivers". Anybody that operates a vehicle that requires a commercial driver's license on public roads in intrastate or interstate commerce, including a part-time or occasional employee, is considered a driver. For example, if the number of drivers varied for your company during the previous year, you will need to calculate the average number of positions throughout the year.

> A selection period reflects how often a motor carrier conducts random draws among drivers for testing - typically monthly or quarterly. If you conduct quarterly random draws, you would need to determine the number of drivers in your company during each guarter. For example, if your company had 30 drivers in quarter 1, 20 drivers in quarter 2, 40 drivers in quarter 3, and 25 drivers in guarter 4, you would divide the total of 115 drivers by 4 - resulting in an average of 28.75. Always round up the average results. Therefore, in this instance, the average number of driving positions to be entered is 29.

> If you conduct monthly random draws (or more frequent random draws), add the total number of drivers for all selection periods, and then divide by the number of selection periods to get the average number of drivers. Thus, for monthly random draws, you would add all drivers, and then divide by 12 for the average number of drivers.

10 Enter the total (or total average) number of drivers.

1 Enter the total (or total average) number of drivers again.

Instructions: Drug Testing Data Section

U.S. Departme of Transportation	U.S. Department of Transportation Management Information System							Federal Motor Carrier Safety Administration F.M.C.S.A.						
Status Logout														
Instructions														
Please enter drug tes	-						ave no e	employee	es in a cate	egory. All f	ields mus	t be com	pleted.	
TIP: Click 'Set blanks								1		- /				
Employer Information	on 🧹	Covered	Employe	es 🧹	Drug T	esting D	ata <mark>y</mark>	Alco	hol Testing	Data 🧹	Wrap U	P		
Employee Ca	teao	ries:												1
YOU MUST FILL IN EACH CATEGORY TO COMPLETE THIS PORTION OF THE REPORTING.														
A green check mark	will app	ear next	to the c	ategory n	ame whe	en it has	been co	mpleted.						
🗸 Complete 💿	Driver													1
														1
<u>III. Drug Te</u>	sting										6	7		
	1 Total	2	3	4	5	6	7	8	4	10 Refusal F	11 Results	12	13	
	Number Of Test		Verified											
Type of Test	Results [Should equal	Verified Negative	Positive Results ~ For	Positive For	Positive For	Positive For	Positive For	Positive For			<u>"Shy</u> Bladder"	<u>Other</u> Refusals	Cancelled	
	the sum of	Results	One Or More	Marijuana		For PCP	<u>Opiates</u>	Amphe- tamines	Adulterated	Substituted	<u>~ With</u> <u>No</u>	<u>To</u> Submit	<u>Results</u>	
		2	3								<u>Medical</u> Explanation	<u>To</u> <u>Testing</u>		
Pre-Employment	0	0	0	0	0	0	0	0	0	0	0	0	0	
Random	0	0	0	0	0	0	0	0	0	0	0	0	0	
Post-Accident	0	0	0	0	0	0	0	0	0	0	0	0	0	
Reasonable Suspicion	0	0	0	0	0	0	0	0	0	0	0	0	0	
Return-to-Duty	0	0	0	0	0	0	0	0	0	0	0	0	0	
Follow-up	0	0		0	0	0	0	0	0	0	0	0	0	
Total	0	0	0	0	0	0	0	0	0		0	0	0	
Undo Changes Clear All Set Blanks to Zero 5														
Covered Employees << >> Alcohol Testing Data														
	Privacy Policy													
											aperwork is required		Act Notice 1320.21)	!

1 Pre-employment testing (column 1). This column is the total for columns 2-12; the system will complete it automatically, so skip to column 2 to begin entering data.

2 In column 2, enter the number of verified negative results.

3 In column 3, enter the number of positive results.

If your company had any positive test results, you must enter the totals in columns 4 through 8 for each drug for which DOT requires testing (e.g., Marijuana, Cocaine, etc.). Columns 4 through 8 must equal the value you entered in column 3. For example, if you indicated that you had 5 positive results, you must ensure that columns 4 through 8 add up to 5.

If there are zeros for all columns, please use the button at the bottom that will fill the remaining columns with zeros for this row. Please follow the same procedure for the remaining 5 columns (pertaining to refusals).

- 6 For column 11, enter the number of donors who did not provide an adequate specimen in 3 hours, and went through the Shy Bladder procedure, but were determined by the medical review officer to not have a valid medical condition that precluded giving an adequate specimen. This is considered a refusal per <u>49 CFR §</u> 40.193 (d) (2) (i).
- For column 12, enter the appropriate number of refusals, based on refusal information in <u>49 CFR § 382.107</u> and § <u>49 CFR 40.191</u>. In addition, <u>What</u> <u>Employers Need to Know about DOT</u> <u>Drug and Alcohol testing</u> on pages 25 through 27, lists the deciding official for each refusal situation.

Instructions: Alcohol Testing Data Section

U.S. Departme of Transportat		ig and Alcohol Testing nagement Information System					ederal Motor afety Adminis	1		
							Status	Lo	gout	
Instructions							All Cal			
lease enter alcohol ompleted.	testing data r	or each emplo	yee category e	ven ir you na	ve no employe	es in a cate	gory. All fiel	ius must be		2
IP: Click 'Set blank	s to zero' to fi	ill all empty fie	lds with a zero.							
Employer Informati	on 🧹 🛛 Cove	ered Employees	s 🧹 Drug 1	Testing Data	🖌 Alcoho	ol Testing Da	ata 🧹 W	rap Up		3
mployee Ca	tegories								1	
OU MUST FILL IN			MPLETE THIS	PORTION O	F THE REPOR	TING.				
A green check mark										
-	Driver									
V. Alcohol	Testing	<u>Data</u> : [Di	river]							
	1 Total # Of	2	3	4	5	6	7	8 al Results	2	4
Type of Test	Screening Test Results [Should equal the sum of 2, 1 1 8]	Screening Tests With Results Below 0.02	Screening Tests With Results 0.02 Or Greater	Number Of Confirmation Tests Results	Confirmation Tests With Results 0.02 Through 0.039	Confirmation Tests With Results 0.04 Or Greater	1 "Shy Lung"~ 4 With No	Other Refusals	Cancelled <u>Results</u>	
Pre-Employment	0	0	0	0	0	0	0	0	0	5
Random	0	0	0	0	0	0	0	0	0	
Post-Accident	0	0	0	0	0	0	0	0	0	
Reasonable Suspicion	0	0	0	0	0	0	0	0	0	
Return-to-Duty	0	0	0	0	0	0	0	0	0	
follow-up	0	0	0	0	0	0	0	0		
Total	0	0		0	Ort Planta to Za	ro (0	0	0	
		U	ndo Changes	Clear All	Set Blanks to Ze	10	2			
			Drug Testing	Data <<	>> Wrap Up					
								Pr	ivacy Policy	
							<u>Paper</u> (as rec	work Reductio quired by 5 CF	n Act Notice R 1320.21)	

- Pre-employment testing (column 1): this is the total for columns 2, 3, 7, and 8, and will be populated by the system. Skip to column 2 to begin entering data.
- You must enter a number for columns2 through 9.
- If there are zeros for all these columns, please see the button at the bottom that will fill the remaining columns with zeros. Please follow the same procedures for the remaining 5 types of DOT alcohol tests.
- For column 7, enter the number of refusals for Shy Lung, based on <u>49 CFR § 40.265 (B)</u>.
- 5 For column 8, enter the number of refusals, per <u>49 CFR § 40.261</u>.

Instructions: Wrap Up Section

U.S. Department of Transportation Management In	ol Testing nformation System	Federal Motor Carrier Safety Administration F M C S A					
		Status Logout					
Instructions Please electronically sign your submission when you have completed all sections. All sections must be completed, and all data validation errors must be corrected before your data can be signed and submitted.							
Employer Information 🗸 Covered Employees	🗸 Drug Testing Data 🖌 Alcohol Testin	ng Data 🧹 Wrap Up					
Your data has passed all validation checks							
Data was submitted by paper.	Click here to receive a cor Print and/or Save a copy of y						
Click the "Data was signed" box if the submitted data was signed.	January V 12 V XXXX V	Data was signed					
¹ In order to view PDF files, you will need the Ad You may obtain this free plug-in at: <u>http://www</u>	Alcohol Testing Data << obe@ Reader@ ^m , available from Adobe System w.adobe.com/prodindex/acrobat/readstep.html	ns, Inc. Privacy Policy Paperwork Reduction Act Notice (as required by 5 CFR 1320.21)					

You will be prompted with an error notice to correct any problems with your submission.

 Once your data makes it through data validation without errors, a dialog box will pop up, asking you to certify the results, provide your electronic signature, and submit your data. Then select the "okay" button to complete your report submission.

You will then get an option to receive an email confirmation of your completed submission. Click on the link to receive email confirmation.