

UNITED STATES DEPARTMENT OF TRANSPORTATION

Legal: SERVICIOS REFRIGERADOS INTERNACIONALES S A DE C V
Operating (DBA):

MC/MX #: 440938 **RFC #:** SRI970114845 **Federal Tax ID:** 97-0114845 (EIN) **Application Tracking #:** 11035

Review Type: Safety Audit - Pre-Authority (OP1)

Scope: Entire Operation **Location of Review/Audit:** Company facility in the U. S. **Territory:**

Operation Types Interstate Intrastate

Carrier: Non-HM N/A
Shipper: N/A N/A
Cargo Tank: N/A

Business: Corporation
Gross Revenue: \$0.00 **for year ending:**

Company Physical Address:

TRANSPORTISTAS NUM 407
 LEON, GJ 37340 MEXICO

Contact Name: Rosaura Elizondo Perez
Phone numbers: (1) 664- 647-3102 (2) **Fax**
E-Mail Address: RELIZONDO@SRICARGA.COM

Company Mailing Address:

2498 ROLL DR 702
 SAN DIEGO, CA 92154

Process Agent Address:

2260 OTAY CENTER COURT
 San Diego, CA 92154

Contact Name: IGNACIO CORDERO
Phone numbers: (1) (2) **Fax**
E-Mail Address:

Carrier Classification

Authorized for Hire

Cargo Classification

General Freight Fresh Produce Commodities Dry Bulk
 Refrigerated Foods

Does carrier transport placardable quantities of HM? No
Is an HM Permit required? N/A

Driver Information

	Inter	Intra	Average trip leased drivers/month: 0	
< 100 Miles:				Total Drivers: 1
>= 100 Miles:	1			CDL Drivers: 1

Equipment

	Owned	Term Leased	Trip Leased	Owned	Term Leased	Trip Leased
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Truck Tractor	1	0	0			
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Power units used in the U.S.: 1
 Percentage of time used in the U.S.: 0





SERVICIOS REFRIGERADOS INTERNACIONALES S A DE C V

Application Tracking #: 11035

RFC #: SRI970114845

Review Date:

02/29/2012

Part A

Questions about this report or the Federal Motor Carrier Safety or Hazardous Materials regulations may be addressed to the Federal Motor Carrier Safety Administration at:

2297 Niels Bohr Court, Suite 204
San Diego (Otay Mesa), CA 92154
Phone: (619)710-8400 Fax:(619)710-2804

This SAFETY AUDIT will be used to assess your safety compliance.

Person(s) Interviewed

Name: Rosaura Elizondo Perez

Title: President

Name:

Title:



**Part B - Questions and Answers**

Question General # 1 - Section # 387.7(a) Acute
Does the carrier have the required minimum level of financial responsibility in effect?

Answer

N/A

Comments

Question General # 2 - Section # 387.7(d) Critical
Does the carrier have required proof of financial responsibility?

Answer

N/A

Comments

Question General # 3 - Section # 390.15(b)(1)
Can the carrier provide a complete accident register of recordable accidents?

Answer

N/A

Comments

Question General # 4 - Section # 390.15(b)(2) Critical
Does the carrier have copies of all accident reports required by States or other government entities or insurers?

Answer

N/A

Comments

Question General # 5 - Section # 390.3(e)
Is the carrier knowledgeable of the FMCSRs/HMRs?

Answer

Yes

Comments

Question General # 6 - Section # 390.21
Does the carrier know the commercial motor vehicles marking requirements?

Answer

Yes

Comments

Question Driver # 1 - Section # 391.51(a) Critical
Does the carrier maintain complete driver qualification files?

Answer

Yes

Comments

Question Driver # 2 - Section # 391.11(b)(4) Acute
Is the carrier using physically qualified drivers?

Answer

N/A

Comments

Question Driver # 3 - Section # 391.45(a), 391.45(b) Critical
Does available evidence indicate the motor carrier has used a driver without a medical certificate or with an expired medical certificate?

Answer

N/A

Comments

Question Driver # 4 - Section # 391.15(a) Acute
Is the carrier using any disqualified drivers?

Answer

N/A

Comments

**Part B - Questions and Answers**

Question Driver # 5 - Section # 391.51(b)(2) Critical
Does the carrier maintain driving and employment history inquiry data in driver qualification files?

Answer

N/A

Comments

Question Driver # 6 - Section # 382.115(a) Acute
Has the carrier implemented an alcohol and/or controlled substances testing program?

Answer

Yes

Comments

Question Driver # 7 - Section # 382.213(b) Acute
Has the carrier used drivers who have used controlled substances?

Answer

N/A

Comments

Question Driver # 8 - Section # 382.215 Acute
Has the carrier used a driver who has tested positive for a controlled substance?

Answer

N/A

Comments

Question Driver # 9 - Section # 382.201 Acute
Has the carrier used a driver known to have an alcohol concentration of 0.04 or greater?

Answer

N/A

Comments

Question Driver # 10 - Section # 382.505(a) Acute
Has the carrier used a driver found to have an alcohol concentration of .02 or greater but less than .04 within 24 hours of being tested?

Answer

N/A

Comments

Question Driver # 11 - Section # 382.301(a) Critical
Has the carrier ensured that drivers have undergone testing for controlled substances prior to performing a safety sensitive function?

Answer

N/A

Comments

Question Driver # 12 - Section # 382.303(a) Critical
Has the carrier conducted post accident testing on drivers for alcohol and/or controlled substances?

Answer

N/A

Comments

Question Driver # 13 - Section # 382.305 Acute
Has the carrier implemented random testing program?

Answer

Yes

Comments

Question Driver # 14 - Section # 382.305(b)(1) Critical
Has the carrier conducted random alcohol testing at an annual rate of not less than the applicable annual rate of the average number of driver positions?

Answer

N/A

Comments

**Part B - Questions and Answers****Question** Driver # 15 - Section # 382.305(b)(2) Critical

Has the carrier conducted controlled substance testing at an annual rate of not less than the applicable annual rate of the average number of driver positions?

Answer

N/A

Comments**Question** Driver # 16 - Section # 40.305(a)

Has the carrier conducted the required return-to-duty tests on employees returning to safety-sensitive functions?

Answer

N/A

Comments**Question** Driver # 17 - Section # 40.309(a)

Is the carrier conducting follow-up testing as directed by the Substance Abuse Professional?

Answer

N/A

Comments**Question** Driver # 18 - Section # 382.211 Acute

Has the carrier used a driver who has refused to submit to an alcohol or controlled substances test required under Part 382?

Answer

N/A

Comments**Question** Driver # 19 - Section # 382.503 Critical

Has the carrier used a Substance Abuse Professional as required by 49 CFR Part 40 Subpart O?

Answer

N/A

Comments**Question** Driver # 20 - Section # 383.23(a) Critical

Has a driver operated a commercial motor vehicle without a current operating license, or a license, which hasn't been properly classed and endorsed?

Answer

No

Comments**Question** Driver # 21 - Section # 383.37(a) Acute

Has the motor carrier allowed it's drivers who's CDLs have been suspended, revoked or canceled by a state, have lost the right to operate a CMV in a State, or have been disqualified from operating a CMV to operate a commercial motor vehicle?

Answer

No

Comments**Question** Driver # 22 - Section # 383.51(a) Acute

Has the motor carrier knowingly allowed, required, permitted, or authorized a driver to drive who is disqualified to drive a commercial motor vehicle?

Answer

No

Comments**Question** Operation #1 - Section # 395.8(a) Critical

Does the carrier require drivers to make a record of duty status?

Answer

Yes

Comments

**Part B - Questions and Answers**

Question Operation #2 - Section # 395.8(i) Critical **Answer**
Does the carrier require drivers to submit records of duty status within 13 days? N/A

Comments

Question Operation #3 - Section # 395.8(k)(1) Critical **Answer**
Can the carrier produce records of duty status and supporting documents for selected drivers? N/A

Comments

Question Operation #4 - Section # 395.3(a)(1) Critical **Answer**
Has the carrier allowed driver(s) to exceed the 11-hour rule? (Property) N/A

Comments

Question Operation #5 - Section # 395.3(a)(2) Critical **Answer**
Has the carrier allowed driver(s) to exceed the 14-hour rule? (Property) N/A

Comments

Question Operation #6 - Section # 395.3(b)(1) Critical **Answer**
Has the carrier allowed driver(s) to drive after having been on duty more than 60 hours in 7 consecutive days? (Property) N/A

Comments

Question Operation #7 - Section # 395.3(b)(2) Critical **Answer**
Has the carrier allowed driver(s) to drive after having been on duty more than 70 hours in 8 consecutive days? (Property) N/A

Comments

Question Operation #8 - Section # 395.5(a)(1) Critical **Answer**
Has the carrier allowed driver(s) to exceed the 10 hour rule? (Passenger) N/A

Comments

Question Operation #9 - Section # 395.5(a)(2) Critical **Answer**
Has the carrier allowed driver(s) to exceed the 15 hour rule? (Passenger) N/A

Comments

Question Operation #10 - Section # 395.5(b)(1) Critical **Answer**
Has the carrier allowed driver(s) to drive after having been on duty more than 60 hours in 7 consecutive days? (Passenger) N/A

Comments

Question Operation #11 - Section # 395.5(b)(2) Critical **Answer**
Has the carrier allowed driver(s) to drive after having been on duty more than 70 hours in 8 consecutive days? (Passenger) N/A

Comments



Part B - Questions and Answers

Question Operation #12 - Section # 395.8(e) Critical
Does available evidence indicate a selected driver has prepared a false record of duty status?

Answer

N/A

Comments

Question Operation #13 - Section #
Does the carrier adhere to a disciplinary policy for noncompliance with Part 395?

Answer

No

Comments

Question Operation #14 - Section # 395.1(e)
Does the carrier have a system for recording hours of duty status on 100- mile radius drivers, and are they properly utilizing the 100 air-mile radius exemption?

Answer

N/A

Comments

Question Operation #15 - Section # 392.2 Critical
Does the motor carrier ensure that drivers operate commercial motor vehicles in accordance with the laws, ordinances, and regulations of the jurisdictions in which they are operating?

Answer

N/A

Comments

Question Operation #16 - Section # 392.9(a)(1) Critical
Does the carrier ensure that drivers are not permitted to drive a vehicle without the cargo properly distributed and adequately secured?

Answer

N/A

Comments

Question Operation #17 - Section # 392.4(b) Acute
Have any drivers operated a commercial motor vehicle while under the influence of, or in possession of, narcotic drugs, amphetamines, or any other substances capable of rendering the drivers incapable of safely operating motor vehicles?

Answer

N/A

Comments

Question Operation #18 - Section # 392.5(b)(1) Acute
Have any drivers operated a commercial motor vehicle while under the influence of, or in possession of, intoxicating beverages?

Answer

N/A

Comments

Question Operation #19 - Section # 392.5(b)(2) Acute
Have any drivers operated a commercial motor vehicle within 4 hours of having consumed intoxicating beverages?

Answer

N/A

Comments

Question Maintenance # 1 - Section # 396.3(b) Critical
Can the carrier produce maintenance files for requested vehicle(s)?

Answer

Yes

Comments



**Part B - Questions and Answers****Question** Maintenance # 2 - Section # 396.17(a) Critical

Can the motor carrier produce evidence of periodic (annual) inspections for selected vehicles?

Answer

Yes

Comments**Question** Maintenance # 3 - Section # 396.11(a) Critical

Does the motor carrier require drivers to complete vehicle inspection reports daily?

Answer

Yes

Comments**Question** Maintenance # 4 - Section # 396.11(c) Acute

Does the carrier ensure that out-of-service defects listed by the driver in the driver vehicle inspection reports are corrected before the vehicle is operated again?

Answer

N/A

Comments**Question** Maintenance # 5 - Section # 396.9(c)(2) Acute

Does the carrier ensure vehicles that have been declared "out-of-service" do not operate before repairs have been made?

Answer

N/A

Comments**Question** Maintenance # 6 - Section # 396.19

Is the carrier using qualified inspectors (mechanic) and maintaining evidence of the inspector's qualifications?

Answer

N/A

Comments**Question** Maintenance # 7 - Section # 396.3

Can the carrier explain its systematic, periodic maintenance program?

Answer

N/A

Comments**Question** Other # 1 - Section # 375.211

Does the carrier participate in an Arbitration Program?

Answer

N/A

Comments**Question** Other # 2 - Section # 13702

Does the carrier assess shipper freight charges based upon published tariffs?

Answer

N/A

Comments**Question** Other # 3 - Section # 375.401(c)

Does the carrier provide reasonably accurate estimates of moving charges?

Answer

N/A

Comments**Question** Other # 4 - Section # 375.407(a), 375.703(b)

Has the carrier avoided "hostage freight" or other predatory practices?

Answer

N/A

Comments



Part B - Questions and Answers

Question Other # 5 - Section # 387.301(a), 387.301(b)

Does the HHG carrier have sufficient levels of public liability and cargo insurance?

Answer

N/A

Comments

Question Other # 6 - Section # 13901

Is the motor carrier authorized to conduct interstate operations in the United States?

Answer

N/A

Comments

Note: No Hazardous Materials questions were asked because the carrier does not carry Hazardous Materials in Interstate Commerce.





SERVICIOS REFRIGERADOS INTERNACIONALES S A DE C V

Application Tracking #: 11035

RFC #: SRI970114845

Review Date:

02/29/2012

Part B Requirements and/or Recommendations

1. The Federal Motor Carrier Safety Administration has a Spanish language version of its website at: www.fmcsa.dot.gov/spanish/.



UNITED STATES DEPARTMENT OF TRANSPORTATION



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Operating (DBA):

MC/MX #: 440938 **RFC #:** SRI970114845 **Federal Tax ID:** 97-0114845 (EIN) **Application Tracking #:** 11035

Review Type: Safety Audit - Pre-Authority (OP1) - Receipt

Scope: Entire Operation **Location of Review/Audit:** Company facility in the U. S. **Territory:**

Operation Types **Interstate** **Intrastate**

Carrier: Non-HM N/A
Shipper: N/A N/A
Cargo Tank: N/A

Business: Corporation
Gross Revenue: \$0.00 **for year ending:**

Company Physical Address:

TRANSPORTISTAS NUM 407
 LEON, GJ 37340 MEXICO

Contact Name: Rosaura Elizondo Perez
Phone numbers: (1) 664- 647-3102 (2) **Fax**
E-Mail Address: RELIZONDO@SRICARGA.COM

Company Mailing Address:

2498 ROLL DR 702
 SAN DIEGO, CA 92154

Report Summary

Report	# of Pages
Part A - General	2
Part B - Questions & Answers	7
Part B - Recommendations	1
Review/Audit Receipt Page	1
Total Pages	11

Disclaimer: By signing below, I acknowledge that I have received a copy of this review/audit and agree with the total number of pages indicated (above) for each document. My signature does not imply agreement with the findings of the review/audit, however they have been discussed in detail with me.

Questions about this report or the Federal Motor Carrier Safety or Hazardous Materials regulations may be addressed to the Federal Motor Carrier Safety Administration at:

2297 Niels Bohr Court, Suite 204
 San Diego (Otay Mesa), CA 92154
 Phone: (619)710-8400 Fax:(619)710-2804

This SAFETY AUDIT will be used to assess your safety compliance.

Person(s) Interviewed

Name: Rosaura Elizondo Perez **Title:** President
Name: **Title:**

Reported By: **Title:** **Code:** US1216 **Date:** 2/29/2012

Received By: **Title:**



OP-1 MX APPLICATION
AFFILIATIONS INFORMATION SECTION II Attachment # 01

Date: 04/10/2012

USDOT# 1052546
Servicios Refrigerados
Internacionales, S.A. de C.V.
Transportistas # 407
Leon, Gto. Mexico. 37290

Federal Motor Carrier Administration
1200 New Jersey Avenue SE
Washington, DC 20590]

To whom it may Concern

Servicios Refrigerados Internacionales, S.A. de C.V. is engaged in transporting general freight

SECTION II AFFILIATIONS INFORMATION

Disclose any relationship the applicant has, or has had, with any U.S. or foreign motor carrier, broker or freight forwarder registered with the former ICC, FHWA, Office of Motor Carrier Safety or Federal Motor Carrier Safety Administration, within the past 3 years. For example, this relationship could be through a percentage of stock ownership, a loan, a management position, a wholly-owned subsidiary, or other arrangement.

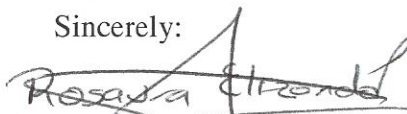
If this requirement applies to the applicant, provide the name of the **Affiliated company the latter's MC or MX Number its USDOT Number if any** and the company's latest U.S. DOT safety rating. Applicant must indicate whether these entities have been disqualified from operating commercial motor vehicles anywhere in the United States pursuant to Section 219 of the Motor Carrier Safety Improvement Act of 1999. (Pub. L.106-159, 113 Stat.1748)(MCSIA). (If the applicant requires more space, attach the information to this letter.)

We answered section II affiliations question because of the following reasons:
[Answer in OP-1 MX application] Razones por su respuesta en la aplicacion OP-1

Our Company affiliations are: SRI Trucking, LLC
USDOT 1614866
MC 596975

Comments: Our answer was negative, as we have not had any relationship as a subsidiary one, we managed as an independent company, doing business dealings through of our transport services and our own staff.

Sincerely:



Rosaura Elizondo
Signature

DRIVER/VEHICLE EXAMINATION REPORT

Aspen 2.13.3.9



U.S. DEPARTMENT OF TRANSPORTATION
FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION
CALIFORNIA DIVISION OFFICE
2297 NIELS BORH COURT SUITE 204
SAN DIEGO, CALIFORNIA 92154

Report Number: US1216120035
Inspection Date: 02/28/2012
Start: 9:16:00 AM PT End: 10:23:00 AM PT
Inspection Level: I - Full
HM Inspection Type: None

SERVICIOS REFRIGERADOS INTERNACIONALES S A DE C V
2498 ROLL DR 702
SAN DIEGO, CA 92154
USDOT#: 01052546 Phone#: (664)623-4760
MC/MX#: 440938 Fax#:
State#:
Location: OTAY MESA CHP
Highway:
County: SAN DIEGO, CA

Driver:
License#: State:
Date of Birth:
CoDriver:
License#: State:
Date of Birth:
Shipper:
MilePost:
Origin: TIJUANA, BN
Destination: SAN DIEGO, CA
Bill of Lading:
Cargo: EMPTY

VEHICLE IDENTIFICATION

Table with columns: Unit, Type, Make, Year, State, Plate #, Equipment ID, VIN, GVWR, CVSA #, CVSA Issued #, OOS Sticker. Row 1: 1, TT, KW, 2008, CA, 9E31151, 311, 3WKAD40X88F810746, 80,000, 16081913, 16394481

BRAKE ADJUSTMENTS

Table with columns: Axle #, Right, Left, Chamber. Rows: Axle # (1, 2, 3), Right (7/8, 1, 1), Left (7/8, 1 1/8, 1), Chamber (C-24, C-36, C-36)

VIOLATIONS: No Violations Were Discovered.

HazMat: No HM Transported.

Placard: No Cargo Tank:

Special Checks: No Data for Special Checks.

Report Prepared By:
EMILIO J. CARAVEO

Handwritten signature of Emilio J. Caraveo

Badge #:
US1216

Copy Received By:

X

Page 1 of 1



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