


UNITED STATES DEPARTMENT OF TRANSPORTATION

		Legal: BAJA EXPRESS TRANSPORTES SA DE CV			
		Operating (DBA):			
MC/MX #:	RFC #: BET080926D31	Federal Tax ID:	Application Tracking #: 10993		
Review Type: Safety Audit - Pre-Authority (OP1)					
Scope:	Entire Operation	Location of Review/Audit: Company facility in another country			Territory:
Operation Types		Interstate	Intrastate		
Carrier:	Non-HM	N/A	Business: Corporation		
Shipper:	N/A	N/A	Gross Revenue: \$0.00		
Cargo Tank:	N/A				for year ending: 11/8/2011
Company Physical Address:					
C ALFONSO VIDAL Y PLANAS 422 B-1 TIJUANA, BN 22500 MEXICO					
Contact Name: Carlos Tirado Valdez					
Phone numbers: (1) 664- 255-5704		(2)	Fax		
E-Mail Address: BAJA.EXPRESS@HOTMAIL.COM					
Company Mailing Address:					
9765 MARCONI DR STE 105 SAN DIEGO, CA 92154					
Process Agent Address:					
9765 Marconi Drive Ste 105 San Diego, CA 92154					
Contact Name: Isabel Rojo					
Phone numbers: (1) 619-710-0451		(2)	Fax		
E-Mail Address: isabel@serviciosgaritaotay.com					
Carrier Classification					
Authorized for Hire					
Cargo Classification					
General Freight					
Does carrier transport placardable quantities of HM? No					
Is an HM Permit required? N/A					
Driver Information					
	Inter	Intra	Average trip leased drivers/month: 0		
< 100 Miles:			Total Drivers: 1		
>= 100 Miles:	1		CDL Drivers: 1		
Equipment					
	Owned	Term Leased	Trip Leased	Owned	Term Leased
Truck	1	0	0		
Power units used in the U.S.: 1					
Percentage of time used in the U.S.: 0					





BAJA EXPRESS TRANSPORTES SA DE CV

Application Tracking #: 10993

RFC #: BET080926D31

Review Date:

11/08/2011

Part A

Questions about this report or the Federal Motor Carrier Safety or Hazardous Materials regulations may be addressed to the Federal Motor Carrier Safety Administration at:

2297 Niels Bohr Court, Suite 204
San Diego (Otay Mesa), CA 92154
Phone: (619)710-8400 Fax:(619)710-2804

This SAFETY AUDIT will be used to assess your safety compliance.

Person(s) Interviewed

Name: Carlos Tirado Valdez

Title: Owner

Name:

Title:





Part B - Questions and Answers

Question General # 1 - Section # 387.7(a) Acute
Does the carrier have the required minimum level of financial responsibility in effect?
Answer
Yes
Comments

Question General # 2 - Section # 387.7(d) Critical
Does the carrier have required proof of financial responsibility?
Answer
N/A
Comments

Question General # 3 - Section # 390.15(b)(1)
Can the carrier provide a complete accident register of recordable accidents?
Answer
N/A
Comments

Question General # 4 - Section # 390.15(b)(2) Critical
Does the carrier have copies of all accident reports required by States or other government entities or insurers?
Answer
N/A
Comments

Question General # 5 - Section # 390.3(e)
Is the carrier knowledgeable of the FMCSRs/HMRs?
Answer
Yes
Comments

Question General # 6 - Section # 390.21
Does the carrier know the commercial motor vehicles marking requirements?
Answer
Yes
Comments

Question Driver # 1 - Section # 391.51(a) Critical
Does the carrier maintain complete driver qualification files?
Answer
Yes
Comments

Question Driver # 2 - Section # 391.11(b)(4) Acute
Is the carrier using physically qualified drivers?
Answer
N/A
Comments

Question Driver # 3 - Section # 391.45(a), 391.45(b) Critical
Does available evidence indicate the motor carrier has used a driver without a medical certificate or with an expired medical certificate?
Answer
N/A
Comments

Question Driver # 4 - Section # 391.15(a) Acute
Is the carrier using any disqualified drivers?
Answer
N/A
Comments



**Part B - Questions and Answers**

Question Driver # 5 - Section # 391.51(b)(2) Critical
Does the carrier maintain driving and employment history inquiry data in driver qualification files?

Answer

N/A

Comments

Question Driver # 6 - Section # 382.115(a) Acute
Has the carrier implemented an alcohol and/or controlled substances testing program?

Answer

Yes

Comments

Question Driver # 7 - Section # 382.213(b) Acute
Has the carrier used drivers who have used controlled substances?

Answer

N/A

Comments

Question Driver # 8 - Section # 382.215 Acute
Has the carrier used a driver who has tested positive for a controlled substance?

Answer

N/A

Comments

Question Driver # 9 - Section # 382.201 Acute
Has the carrier used a driver known to have an alcohol concentration of 0.04 or greater?

Answer

N/A

Comments

Question Driver # 10 - Section # 382.505(a) Acute
Has the carrier used a driver found to have an alcohol concentration of .02 or greater but less than .04 within 24 hours of being tested?

Answer

N/A

Comments

Question Driver # 11 - Section # 382.301(a) Critical
Has the carrier ensured that drivers have undergone testing for controlled substances prior to performing a safety sensitive function?

Answer

N/A

Comments

Question Driver # 12 - Section # 382.303(a) Critical
Has the carrier conducted post accident testing on drivers for alcohol and/or controlled substances?

Answer

N/A

Comments

Question Driver # 13 - Section # 382.305 Acute
Has the carrier implemented random testing program?

Answer

Yes

Comments

Question Driver # 14 - Section # 382.305(b)(1) Critical
Has the carrier conducted random alcohol testing at an annual rate of not less than the applicable annual rate of the average number of driver positions?

Answer

N/A

Comments



Part B - Questions and Answers

Question Driver # 15 - Section # 382.305(b)(2) Critical **Answer**
N/A
Has the carrier conducted controlled substance testing at an annual rate of not less than the applicable annual rate of the average number of driver positions?
Comments

Question Driver # 16 - Section # 40.305(a) **Answer**
N/A
Has the carrier conducted the required return-to-duty tests on employees returning to safety-sensitive functions?
Comments

Question Driver # 17 - Section # 40.309(a) **Answer**
N/A
Is the carrier conducting follow-up testing as directed by the Substance Abuse Professional?
Comments

Question Driver # 18 - Section # 382.211 Acute **Answer**
N/A
Has the carrier used a driver who has refused to submit to an alcohol or controlled substances test required under Part 382?
Comments

Question Driver # 19 - Section # 382.503 Critical **Answer**
N/A
Has the carrier used a Substance Abuse Professional as required by 49 CFR Part 40 Subpart O?
Comments

Question Driver # 20 - Section # 383.23(a) Critical **Answer**
N/A
Has a driver operated a commercial motor vehicle without a current operating license, or a license, which hasn't been properly classed and endorsed?
Comments

Question Driver # 21 - Section # 383.37(a) Acute **Answer**
N/A
Has the motor carrier allowed it's drivers who's CDLs have been suspended, revoked or canceled by a state, have lost the right to operate a CMV in a State, or have been disqualified from operating a CMV to operate a commercial motor vehicle?
Comments

Question Driver # 22 - Section # 383.51(a) Acute **Answer**
N/A
Has the motor carrier knowingly allowed, required, permitted, or authorized a driver to drive who is disqualified to drive a commercial motor vehicle?
Comments

Question Operation #1 - Section # 395.8(a) Critical **Answer**
Yes
Does the carrier require drivers to make a record of duty status?
Comments



**Part B - Questions and Answers**

Question Operation #2 - Section # 395.8(i) Critical **Answer**
Does the carrier require drivers to submit records of duty status within 13 days? **Yes**

Comments

Question Operation #3 - Section # 395.8(k)(1) Critical **Answer**
Can the carrier produce records of duty status and supporting documents for selected drivers? **Yes**

Comments

Question Operation #4 - Section # 395.3(a)(1) Critical **Answer**
Has the carrier allowed driver(s) to exceed the 11-hour rule? (Property) **N/A**

Comments

Question Operation #5 - Section # 395.3(a)(2) Critical **Answer**
Has the carrier allowed driver(s) to exceed the 14-hour rule? (Property) **N/A**

Comments

Question Operation #6 - Section # 395.3(b)(1) Critical **Answer**
Has the carrier allowed driver(s) to drive after having been on duty more than 60 hours in 7 consecutive days? **N/A**
(Property)

Comments

Question Operation #7 - Section # 395.3(b)(2) Critical **Answer**
Has the carrier allowed driver(s) to drive after having been on duty more than 70 hours in 8 consecutive days? **N/A**
(Property)

Comments

Question Operation #8 - Section # 395.5(a)(1) Critical **Answer**
Has the carrier allowed driver(s) to exceed the 10 hour rule? (Passenger) **N/A**

Comments

Question Operation #9 - Section # 395.5(a)(2) Critical **Answer**
Has the carrier allowed driver(s) to exceed the 15 hour rule? (Passenger) **N/A**

Comments

Question Operation #10 - Section # 395.5(b)(1) Critical **Answer**
Has the carrier allowed driver(s) to drive after having been on duty more than 60 hours in 7 consecutive days? **N/A**
(Passenger)

Comments

Question Operation #11 - Section # 395.5(b)(2) Critical **Answer**
Has the carrier allowed driver(s) to drive after having been on duty more than 70 hours in 8 consecutive days? **N/A**
(Passenger)

Comments



**Part B - Questions and Answers****Question** Operation #12 - Section # 395.8(e) Critical**Answer**

Does available evidence indicate a selected driver has prepared a false record of duty status?

N/A

Comments**Question** Operation #13 - Section #**Answer**

Does the carrier adhere to a disciplinary policy for noncompliance with Part 395?

No

Comments

Carrier does not have a disciplinary policy.

Question Operation #14 - Section # 395.1(e)**Answer**

Does the carrier have a system for recording hours of duty status on 100- mile radius drivers, and are they properly utilizing the 100 air-mile radius exemption?

N/A

Comments**Question** Operation #15 - Section # 392.2 Critical**Answer**

Does the motor carrier ensure that drivers operate commercial motor vehicles in accordance with the laws, ordinances, and regulations of the jurisdictions in which they are operating?

N/A

Comments**Question** Operation #16 - Section # 392.9(a)(1) Critical**Answer**

Does the carrier ensure that drivers are not permitted to drive a vehicle without the cargo properly distributed and adequately secured?

N/A

Comments**Question** Operation #17 - Section # 392.4(b) Acute**Answer**

Have any drivers operated a commercial motor vehicle while under the influence of, or in possession of, narcotic drugs, amphetamines, or any other substances capable of rendering the drivers incapable of safely operating motor vehicles?

N/A

Comments**Question** Operation #18 - Section # 392.5(b)(1) Acute**Answer**

Have any drivers operated a commercial motor vehicle while under the influence of, or in possession of, intoxicating beverages?

N/A

Comments**Question** Operation #19 - Section # 392.5(b)(2) Acute**Answer**

Have any drivers operated a commercial motor vehicle within 4 hours of having consumed intoxicating beverages?

N/A

Comments**Question** Maintenance # 1 - Section # 396.3(b) Critical**Answer**

Can the carrier produce maintenance files for requested vehicle(s)?

Yes

Comments

**Part B - Questions and Answers****Question** Maintenance # 2 - Section # 396.17(a) Critical

Can the motor carrier produce evidence of periodic (annual) inspections for selected vehicles?

Comments**Answer**

Yes

Question Maintenance # 3 - Section # 396.11(a) Critical

Does the motor carrier require drivers to complete vehicle inspection reports daily?

Comments**Answer**

N/A

Question Maintenance # 4 - Section # 396.11(c) Acute

Does the carrier ensure that out-of-service defects listed by the driver in the driver vehicle inspection reports are corrected before the vehicle is operated again?

Comments**Answer**

N/A

Question Maintenance # 5 - Section # 396.9(c)(2) Acute

Does the carrier ensure vehicles that have been declared "out-of-service" do not operate before repairs have been made?

Comments**Answer**

N/A

Question Maintenance # 6 - Section # 396.19

Is the carrier using qualified inspectors (mechanic) and maintaining evidence of the inspector's qualifications?

Comments**Answer**

Yes

Question Maintenance # 7 - Section # 396.3

Can the carrier explain its systematic, periodic maintenance program?

Comments

Carrier could not explained a maintenance.

Answer

No

Question Other # 1 - Section # 375.211

Does the carrier participate in an Arbitration Program?

Comments**Answer**

N/A

Question Other # 2 - Section # 13702

Does the carrier assess shipper freight charges based upon published tariffs?

Comments**Answer**

N/A

Question Other # 3 - Section # 375.401(c)

Does the carrier provide reasonably accurate estimates of moving charges?

Comments**Answer**

N/A

Question Other # 4 - Section # 375.407(a), 375.703(b)

Has the carrier avoided "hostage freight" or other predatory practices?

Comments**Answer**

N/A





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Part B - Questions and Answers

Question Other # 5 - Section # 387.301(a), 387.301(b)

Does the HHG carrier have sufficient levels of public liability and cargo insurance?

Answer

N/A

Comments

Question Other # 6 - Section # 13901

Is the motor carrier authorized to conduct interstate operations in the United States?

Answer

N/A

Comments

Note: No Hazardous Materials questions were asked because the carrier does not carry Hazardous Materials in Interstate Commerce.



**Part B Requirements and/or Recommendations**

1. A copy of your carrier profile can be obtained for \$20 from the SAFER website (<http://safer.fmcsa.dot.gov>) or by calling 800-832-5660 or 703 280-4001. You can also write: Computing Technologies Inc. P.O. Box 3248, Merrifield, VA 22116-3248. Profile cost if ordered by mail or phone is \$27.50.
2. The Federal Motor Carrier Safety Administration has a Spanish language version of its website at: www.fmcsa.dot.gov/spanish/.
3. A complete Educational and Technical Assistance package entitled "A MOTOR CARRIER'S GUIDE TO IMPROVING HIGHWAY SAFETY" is available free on the FMCSA website to assist you in complying with the safety regulations. It contains many forms and documents useful for improving the safety of your operations. Check: www.fmcsa.dot.gov/factsfigs/eta/index.html.
4. Establish a systematic maintenance records program for all vehicles. Maintain a complete file for each subject vehicle, recording all repair, maintenance and inspection operations performed.
5. The DataQs system is an electronic means for filing concerns about Federal and State data released to the public by the Federal Motor Carrier Safety Administration (FMCSA). Through this system, data concerns are automatically forwarded to the appropriate office for resolution. The system also allows filers to monitor the status of each filing.
Check and update records
 1. Motor Carrier Census (Form MCS -150)
 2. Routinely monitor and review inspection and crash data
 3. Question potentially incorrect data (DataQs: <https://dataqs.fmcsa.dot.gov>)



DRIVER/VEHICLE EXAMINATION REPORT

Aspen 2.13.2.4



U.S. DEPARTMENT OF TRANSPORTATION
FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION
CALIFORNIA DIVISION OFFICE
2297 NIELS BORH COURT SUITE 204
SAN DIEGO, CALIFORNIA 92154

Report Number: US1216120034
Inspection Date: 11/08/2011
Start: 10:02:00 AM PT End: 11:04:39 AM PT
Inspection Level: I - Full
HM Inspection Type: None

BAJA EXPRESS TRANSPORTES SA DE CV
C ALFONSO VIDAL Y PLANAS 422 B-1
TIJUANA, BN 22500

Driver:
License#:
Date of Birth:
CoDriver:
License#:
Date of Birth:
State: MX
State:

USDOT#: *****
MC/MX#:
State#:
Phone#: 664-255-5704
Fax#:

Location: CARRIER PLACE OF BUSINESS
Highway:
County: (BAHA CALIFORNIA NORTE), BN

MilePost:
Origin: TIJUANA, BN
Destination: TIJUANA, BN
Shipper:

Bill of Lading: NONE
Cargo: EMPTY

VEHICLE IDENTIFICATION

Table with columns: Unit, Type, Make, Year, State, Plate #, Equipment ID, VIN, GVWR, CVSA #, CVSA Issued #, OOS Sticker. Row 1: 1, TR, FRHT, 2004, CA, 6Z73844, 1FVACXAK44HM67857, 33,000, 15907722

BRAKE ADJUSTMENTS

Table with columns: Axle #, 1, 2. Rows: Right (1 1/2, 1 7/8), Left (1 1/2, 1 7/8), Chamber (C-24, C-30)

VIOLATIONS

Table with columns: Section, Type, Unit, OOS, Citation #, Verify, Crash, Violations Discovered. Row 1: 393.11N, F, 1, N, N, N, No retroreflective sheeting or reflex reflective materials as required for vehicles manufactured after December 1993

HazMat: No HM Transported.

Placard: No Cargo Tank:

Special Checks: PASA Inspection

Report Prepared By:
EMILIO J. CARAVEO

Badge #:
US1216

Copy Received By:

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02194257 BN US1216120034

X _____

X _____

DRIVER/VEHICLE EXAMINATION REPORT

Aspen 2.13.2.4



U.S. DEPARTMENT OF TRANSPORTATION
FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION
CALIFORNIA DIVISION OFFICE
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SAN DIEGO, CALIFORNIA 92154

Report Number: US1216120034
Inspection Date: 11/08/2011
Start: 10:02:00 AM PT End: 11:04:39 AM PT
Inspection Level: I - Full
HM Inspection Type: None

BAJA EXPRESS TRANSPORTES SA DE CV
C ALFONSO VIDAL Y PLANAS 422 B-1
TIJUANA, BN 22500

USDOT#: *****

Phone#: 664-255-5704

MC/MX#:

Fax#:

State#:

Driver:

License#:

Date of Birth:

CoDriver:

License#:

Date of Birth:

State: MX

State:

Location: CARRIER PLACE OF BUSINESS

Highway:

County: (BAHA CALIFORNIA NORTE), BN

MilePost:

Origin: TIJUANA, BN

Destination: TIJUANA, BN

Shipper:

Bill of Lading: NONE

Cargo: EMPTY

VEHICLE IDENTIFICATION

Table with columns: Unit, Type, Make, Year, State, Plate #, Equipment ID, VIN, GVWR, CVSA #, CVSA Issued #, OOS Sticker. Row 1: 1, TR, FRHT, 2004, CA, 6Z73844, 1FVACXAK44HM67857, 33,000, 15907722

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HazMat: No HM Transported.

Placard: No

Cargo Tank:

Special Checks: PASA Inspection

Report Prepared By: EMILIO J. CARAVEO

Badge #: US1216

Copy Received By:

Page 1 of 1



02194257 BN US1216120034

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